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### **Specification by State Predicates**



- Hoare calculus and predicate transformers use state predicates.
  - Formulas that talk about a single (pre/post-)state.
  - In such a formula, a reference x means "the value of program variable x in the given state".
- Relationship between pre/post-state is not directly expressible.
  - Requires uninterpreted mathematical constants.

$${x = a}x := x + 1{x = a + 1}$$

Unchanged variables have to be explicitly specified.

$${x = a \land y = b}x := x + 1{x = a + 1 \land y = b}$$

- The semantics of a command c is only implicitly specified.
  - Specifications depend on auxiliary state conditions *P*, *Q*.

$${P}c{Q} 
wp(c, Q) = P$$

Let us turn our focus from individual states to pairs of states.

2. The RISC ProgramExplorer

### **Specification by State Relations**

- We introduce formulas that denote state relations.
  - Talk about a pair of states (the pre-state and the post-state).
  - old x: "the value of program variable x in the pre-state".
  - var x: "the value of program variable x in the post-state".
- We introduce the logical judgment  $c: [F]^{\times,...}$ 
  - If the execution of *c* terminates normally, the resulting post-state is related to the pre-state as described by *F*.
  - Every variable y not listed in the set of variables  $x, \ldots$  has the same value in the pre-state and in the post-state.

$$c: F \wedge \text{var } y = \text{old } y \wedge \dots$$

```
x := x + 1 : [\text{var } x = \text{old } x + 1]^x

x := x + 1 : \text{var } x = \text{old } x + 1 \land \text{var } y = \text{old } y \land \text{var } z = \text{old } z \land \dots
```

We will discuss the termination of commands later.

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### **State Relation Rules**



$$\frac{c:[F]^{ss} \quad y \not\in xs}{c:[F \land \text{var } y = \text{old } y]^{ss \cup \{y\}}}$$

$$\text{skip}:[\text{true}]^{\emptyset} \quad \text{abort}:[\text{true}]^{\emptyset} \quad x = e:[\text{var } x = e']^{x}$$

$$\frac{c_{1}:[F_{1}]^{xs} \quad c_{2}:[F_{2}]^{xs}}{c_{1};c_{2}:[\exists ys:F_{1}[ys/\text{var } xs] \land F_{2}[ys/\text{old } xs]]^{xs}}$$

$$\frac{c:[F]^{ss}}{\text{if } e \text{ then } c:[\text{if } e' \text{ then } F \text{ else var } xs = \text{old } xs]^{xs}}$$

$$\frac{c_{1}:[F_{1}]^{xs} \quad c_{2}:[F_{2}]^{xs}}{\text{if } e \text{ then } c_{1} \text{ else } c_{2}:[\text{if } e' \text{ then } F_{1} \text{ else } F_{2}]^{xs}}$$

$$c:[F]^{ss}$$

$$c:[F]^{ss}$$

$$c:[F]^{ss}$$

$$while e \text{ do } \{I,t\} \ c:[\neg e'' \land (I[\text{old } xs/\text{var } xs] \Rightarrow I)]^{ss}$$

if e then  $F_1$  else  $F_2$ :  $\Leftrightarrow$   $(e \Rightarrow F_1) \land (\neg e \Rightarrow F_2)$ e' := e[old xs/xs], e'' := e[var xs/xs] (for all program variables xs)

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**Example** 



```
c_1 = y := y + 1;
c_2 = x := x + y

c_1 : [\text{var } y = \text{old } y + 1]^y
c_2 : [\text{var } x = \text{old } x + \text{old } y]^x

c_1 : [\text{var } y = \text{old } y + 1 \land \text{var } x = \text{old } x]^{x,y}
c_2 : [\text{var } x = \text{old } x + \text{old } y \land \text{var } y = \text{old } y]^{x,y}

c_1 : c_2 : [\exists x_0, y_0 : y_0 = \text{old } x \land x_0 =
```

Mechanical translation and logical simplification.

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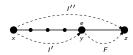
### Loops



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 $c: [F]^{xs}$   $\vdash \forall xs, ys, zs: I[xs/\text{old } xs, ys/\text{var } xs] \land e[ys/xs] \land F[ys/\text{old } xs, zs/\text{var } xs] \Rightarrow I[xs/\text{old } xs, zs/\text{var } xs]$   $\text{while } e \text{ do } \{I, t\} \ c: [\neg e'' \land (I[\text{old } xs/\text{var } xs] \Rightarrow I)]^{xs}$ 



w =while i < ndo  $\{I, t\}$  (s := s + i; i := i + 1) $I \Leftrightarrow 0 \le$ var  $i \le$ old  $n \land$ var  $s = \sum_{j=0}^{\text{var } i-1} j$ 

The loop relation is derived from the invariant (not the loop body); we have to prove the preservation of the loop invariant.

**Example** 



Let us calculate this "semantic essence" of the program.

### **Example**



```
c = \text{if } n < 0 \text{ then } s := -1 \text{ else } b
b = (s := 0; i := 0; w)
w = \text{while } i < n \text{ do } \{I, t\} \ (s := s + i; i = i + 1)
s := 0 : [\text{var } s = 0]^s
s := 0 : [\text{var } i = 0]^i
i := 0 : [\text{var } i = 0]^i
i := 0 : [\text{var } i = 0 \land \text{var } s = \text{old } s]^{s,i}
s := 0; i := 0 : [\exists s_0, i_0 : s_0 = 0 \land i_0 = \text{old } i \land \text{ var } i = 0 \land \text{var } s = s_0]^{s,i}
s := 0; i := 0 : [\text{var } s = 0 \land \text{var } i = 0]^s, i
w : [\neg(\text{var } i < \text{var } n) \land (0 \le \text{old } i \le \text{old } n \land \text{old } s = \sum_{j=0}^{\text{old } i-1} j \Rightarrow I)]^{s,i}
w : [\text{var } i \ge \text{old } n \land (0 \le \text{old } i \le \text{old } n \land \text{old } s = \sum_{j=0}^{\text{old } i-1} j \Rightarrow I)]^{s,i}
```

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### **Example**



```
c = \text{if } n < 0 \text{ then } s := -1 \text{ else } b
b = (s := 0; i := 0; w)
w = \text{while } i < n \text{ do } \{I, t\} \ (s := s + i; i = i + 1)
s := 0; i := 0 : [\text{var } s = 0 \land \text{var } i = 0]^{s,i}
w : [\text{var } i \ge \text{old } n \land (0 \le \text{old } i \le \text{old } n \land \text{old } s = \sum_{j=0}^{\text{old } i-1} j \Rightarrow I)]^{s,i}
b : [\exists s_0, i_0 : s_0 = 0 \land i_0 = 0 \land \text{var } i \ge \text{old } n \land (0 \le i_0 \le \text{old } n \land s_0 = \sum_{j=0}^{i_0-1} j \Rightarrow I)]^{s,i}
b : [\exists s_0, i_0 : s_0 = 0 \land i_0 = 0 \land \text{var } i \ge \text{old } n \land (0 \le \text{old } n \Rightarrow I)]^{s,i}
b : [\text{var } i \ge \text{old } n \land \text{old } s \ge \text{old } s \land \text{var } s \ge \text{old } s \land \text{old } s \ge \text{old } s \ge \text{old } s \land \text{old } s \ge \text{old } s
```

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### **Example**



```
c = \text{if } n < 0 \text{ then } s := -1 \text{ else } b
b = (s := 0; i := 0; w)
w = \text{while } i < n \text{ do } \{I, t\} \text{ } (s := s + i; i = i + 1)
s := -1 : [\text{var } s = -1]^s
s := -1 : [\text{var } i = \text{old } i \land \text{var } s = -1]^{s,i}
b : [\text{var } i \ge \text{old } n \land (0 \le \text{old } n \Rightarrow \text{var } i = \text{old } n \land \text{var } s = \sum_{j=0}^{\text{old } n-1} j)]^{s,i}
c : [\text{if old } n < 0
\text{then var } i = \text{old } i \land \text{var } s = -1
\text{else var } i \ge \text{old } n \land (0 \le \text{old } n \Rightarrow \text{var } i = \text{old } n \land \text{var } s = \sum_{j=0}^{\text{old } n-1} j)]^{s,i}
c : [\text{if old } n < 0
\text{then var } i = \text{old } i \land \text{var } s = -1
\text{else var } i = \text{old } i \land \text{var } s = \sum_{j=0}^{\text{old } n-1} j)]^{s,i}
```

### **Partial Correctness**



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- Specification (xs, P, Q)
  - Set of program variables xs (which may be modified).
  - Precondition P (a formula with "old xs" but no "var xs").
  - Postcondition Q (a formula with both "old xs" and "var xs").
- Partial correctness of implementation c
  - 1. Derive  $c:[F]^{xs}$ .
  - 2. Prove  $F \Rightarrow (P \Rightarrow Q)$ 
    - Or:  $P \Rightarrow (F \Rightarrow Q)$
    - Or:  $(P \wedge F) \Rightarrow Q$

Verification of partial correctness leads to the proof of an implication.

### Relationship to Other Calculi



Let all state conditions refer via "old xs" to program variables xs.

- Hoare Calculus
  - For proving  $\{P\}c\{Q\}$ ,
  - it suffices to derive  $c: [F]^{xs}$
  - and prove  $P \wedge F \Rightarrow Q[\text{var } xs/\text{old } xs]$ .
- Predicate Transformers
  - Assume we can derive  $c: [F]^{xs}$ .
  - If c does not contain loops, then

$$wp(c, Q) = \forall xs : F[xs/var \ xs] \Rightarrow Q[xs/old \ xs]$$
  

$$sp(c, P) = \exists xs : P[xs/old \ xs] \land F[xs/old \ xs, old \ xs/var \ xs]$$

• If *c* contains loops, the result is still a valid pre/post-condition but not necessarily the weakest/strongest one.

A generalization of the previously presented calculi.

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...,

### **Termination Condition Rules**



 $\vdash \forall xs, ys, zs$ :

$$I[xs/\text{old } xs, ys/\text{var } xs] \land e[ys/xs] \land F[ys/\text{old } xs, zs/\text{var } xs] \land t[ys/\text{old } xs] \ge 0 \Rightarrow$$

$$T[ys/\text{old } xs] \land 0 \le t[zs/\text{old } xs] < t[ys/\text{old } xs]$$
while e do  $\{I, t\} c \downarrow t > 0$ 

In every iteration of a loop, the loop body must terminate and the termination term must decrease (but not become negative).

### **Termination**



- We introduce a judgment  $c \downarrow T$ .
  - State condition T (a formula with "old xs" but no "var xs").
  - Starting with a pre-state that satisfies condition T the execution of command c terminates.
- Total correctness of implementation *c*.

```
Specification (xs, P, Q).
```

- Derive  $c \downarrow T$ .
- Prove  $P \Rightarrow T$ .

Also verification of termination leads to the proof of an implication.

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### **Example**



We still have to prove the constraint on the loop iteration.

### **Example**



$$\begin{split} s := s + i; i := i + 1 \downarrow \mathsf{true} \\ \forall s_x, s_y, s_z, i_x, i_y, i_z : \\ & \left(0 \le i_y \le \mathsf{old} \ n \land s_y = \sum_{j=0}^{i_y - 1} j\right) \land \\ & i_y < \mathsf{old} \ n \land \\ & \left(s_z = s_y + i_y \land i_z = i_y + 1\right) \land \\ & \mathsf{old} \ n - i_y \ge 0 \ \Rightarrow \\ & \mathsf{true} \land \\ & 0 \le \mathsf{old} \ n - i_z < \mathsf{old} \ n - i_y \end{split}$$

Also this constraint is simple to prove.

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**Abortion** 

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Also abortion can be ruled out by proving side conditions in the usual way.

Wolfgang Schreiner. Computer-Assisted Program Reasoning Based on a

Relational Semantics of Programs. Research Institute for Symbolic Computation (RISC), Johannes Kepler University, Linz, Austria, 2011.

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- 1. Programs as State Relations
- 2. The RISC ProgramExplorer

### The RISC ProgramExplorer

See the report for the full calculus.



- An integrated environment for program reasoning.
  - Research Institute for Symbolic Computation (RISC), 2008–.
     http://www.risc.jku.at/research/formal/software/ProgramExplorer
    - Integrates the RISC ProofNavigator for computer-assisted proving.
    - Written in Java, runs under Linux (only), freely available (GPL).
- Programs written in "MiniJava".
  - Subset of Java with full support of control flow interruptions.
  - Value (not pointer) semantics for arrays and objects.
- Theories and specifications written in a formula language.
  - Derived from the language of the RISC ProofNavigator.
- Semantic analysis and verification.
  - Program methods are translated into their "semantic essence".
    - Open for human inspection.
  - From the semantics, the verification tasks are generated.
    - Solved by automatic decision procedure or interactive proof.

Tight integration of executable programs, declarative specifications, mathematical semantics, and verification tasks.

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### Using the Software



See "The RISC ProgramExplorer: Tutorial and Manual".

- Develop a theory.
  - File "*Theory*.theory" with a theory *Theory* of mathematical types, constants, functions, predicates, axioms, and theorems.
  - Can be also added to a program file.
- Develop a program.
  - File "Class.java" with a class Class that contains class (static) and object (non-static) variables, methods and constructors.
  - Class may be annotated by a theory (and an object invariant).
  - Methods may be annotated by method specifications.
  - Loops may be annotated by invariants and termination terms.
- Analyze method semantics.
  - Transition relations, termination conditions, ... of the method body and its individual commands.
- Perform verification tasks.
  - Frame, postcondition, termination, preconditions, loop-related tasks, type-checking conditions.

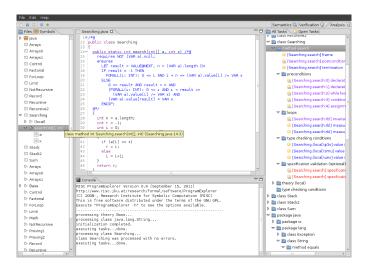
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### The Graphical User Interface



### Starting the Software



Starting the software:

module load ProgramExplorer (only at RISC) ProgramExplorer &

Command line options:

```
Usage: ProgramExplorer [OPTION]...

OPTION: one of the following options:
-h, --help: print this message.
-cp, --classpath [PATH]:
directories representing top package.

Environment Variables:
PE_CLASSPATH:
the directories (separated by ":") representing the top package (default the current working directory)
```

■ Task repository created/read in current working directory:

```
Subdirectory .PETASKS. timestamp (ProgramExplorer tasks) Subdirectory .ProofNavigator (ProofNavigator legacy)
```

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# **A** Program



```
/*@..
class Sum
{
    static int sum(int n) /*@..
    {
        int s;
        if (n < 0)
            s = -1;
        else
        {
             s = 0;
            int i = 1;
            while (i <= n) /*@..
        {
                 s = s+i;
                i = i+1;
            }
        }
        return s;
        Markers /*@.. indicate
        hidden mathematical annotations.</pre>
```

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### A Theory



```
/*@
    theory {
        sum: (INT, INT) -> INT;
        sumaxiom: AXIOM
        FORALL(m: INT, n: INT):
            IF n m THEN
            sum(m, n) = 0
            ELSE
            sum(m, n) = n+sum(m, n-1)
            ENDIF;
    }
@*/
class Sum
...
```

The introduction of a function  $sum(m, n) = \sum_{i=m}^{n} j$ .

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### A Method Specification



```
static int sum(int n) /*@
  requires VAR n < Base.MAX_INT;
  ensures
   LET result=VALUE@NEXT IN
   IF VAR n < 0
     THEN result = -1
     ELSE result = sum(1, VAR n)
   ENDIF;
@*/</pre>
```

For non-negative n, a call of program method sum(n) returns sum(1, n) (and does not modify any global variable).

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# **A Loop Annotation**



The loop invariant and termination term (measure).

### The Specification Language



Derived from the language of the RISC ProofNavigator.

- State conditions/relations, state terms.
  - State condition: method precondition (requires).
  - State relation: method postcondition (ensures), loop invariant (invariant).
  - State term: termination term (decreases).
- References to program variables.

OLD x: the value of program variable x in the pre-state.

VAR x: the value of program variable x in the post-state.

- In state conditions/terms, both refer to the value in the current state.
- If program variable is of the program type T, then then OLD/VAR x is of the mathematical type T'.

```
\mathtt{int} \to \mathtt{Base.int} = \mathtt{[Base.MIN\_INT,Base.MAX\_INT]}.
```

Function results

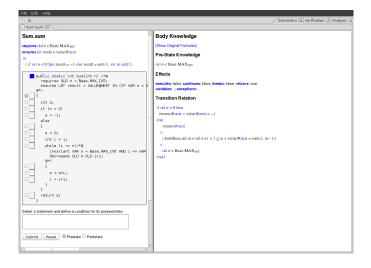
VALUE@NEXT: the return value of a program function.

The value of the function call's post-state NEXT.

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### The Semantics View





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# The Method Body



#### **Body Knowledge**

[Show Original Formulas]

#### Pre-State Knowledge

old  $n < \text{Base.MAX}_{INI}$ 

#### Effects

executes: false, continues: false, breaks: false, returns: true variables: -: exceptions:-

#### Transition Relation

```
if old n < 0 then  returns@next \wedge value@next = -1   else \\ returns@next \\ \wedge \\ (\exists in \in Base.int: in = old n + 1 \wedge 1 \leq in \wedge value@next = sum(1, in - 1)) \\ \wedge \\ old n < Base.MAX_{INT}
```

Select method symbol "sum" and menu entry "Show Semantics".

endif

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### **A Body Command**

Move the mouse pointer

over the box to the

left of the loop.



### Statement Knowledge

[Show Original Formulas]

#### Pre-State Knowledge

old  $n < \text{Base.MAX}_{\text{INT}} \land \text{old } n \ge 0 \land \text{old } s = 0 \land \text{old } i = 1$ 

#### Precondition

old  $n < \text{Base.MAX}_{\text{INT}} \land 1 \le \text{old } i \land \text{old } i \le \text{old } n+1 \land \text{old } s = \text{sum}(1, \text{old } i-1)$ 

#### **Effects**

executes: true, continues: false, breaks: false, returns: false variables: s, i; exceptions:-

#### Transition Relation

 $\operatorname{var} i = \operatorname{old} n + 1 \wedge \operatorname{old} n < \operatorname{Base.MAX}_{\operatorname{INT}} \wedge 1 \leq \operatorname{var} i \wedge \operatorname{var} s = \operatorname{sum}(1, \operatorname{var} i - 1)$ 

#### **Termination Condition**

executes@now  $\Rightarrow$  old n-old  $i \ge -1$ 

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### The Semantics Elements



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### Pre-State Knowledge

What is known about the pre-state of the command.

### Precondition

What has to be true for the pre-state of the command such that the command may be executed.

#### Effects

Which kind of effects may the command have.

- variables: which variables may be changed.
- exceptions: which exceptions may be thrown.
- executes, continues, breaks, returns: may the execution terminate normally, may it be terminated by a continue, break, return.

### Transition Relation

The prestate/poststate relationship of the command.

#### Termination

What has to be true for the pre-state of the command such that the command terminates.

Formulas are shown after simplification (see "Show Original Formulas").
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### **Constraining a State**



### The Verification Tasks



#### State Conditions

[Show Original Formulas]

Pre-State Condition

 $\operatorname{var} i = 1 \wedge \operatorname{var} s = \operatorname{var} i + 2$ 

Post-State Condition

Select the loop body, enter in the box the condition VAR s=2 AND VAR i=1. press "Submit", and move the mouse to i=i+1.

 $\operatorname{var} s = 3 \wedge \operatorname{var} i = 2$ 

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### The Verification Tasks

- Effects: does the method only change those global variables indicated in the method's assignable clause?
- Postcondition: do the method's precondition and the body's state relation imply the method's postcondition?
- Termination: does the method's precondition imply the body's termination condition?
- Precondition: does a statement's prestate knowledge imply the statement's precondition?
- Loops: is the loop invariant preserved, the measure well-formed (does not become negative) and decreased?
- Type checking conditions: are all formulas well-typed?
- Specification validation: does for every input that satisfies a precondition exist a result that does (not) satisfy the postcondition?

Partially solved by automatic decision procedure, partially by an interactive computer-supported proof. http://www.risc.jku.at

▽ 🛅 method sum ☼ [Sum.sum] effects 🧸 [Sum.sum] postcondition [Sum.sum] termination ¬ □ preconditions 🏯 [Sum.sum:0] assignment precondition [Sum.sum:1] while loop precondition [Sum.sum:2] assignment precondition (Sum.sum:3) assignment precondition [Sum.sum:qvb] invariant is preserved [Sum.sum:gvb] measure is well-formed [Sum.sum:qvb] measure is decreased type checking conditions ▽ i specification validation (optional) [Sum.sum] specification is satisfiable [Sum.sum] specification is not trivial

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The Task States



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The task status is indicated by color (icon).

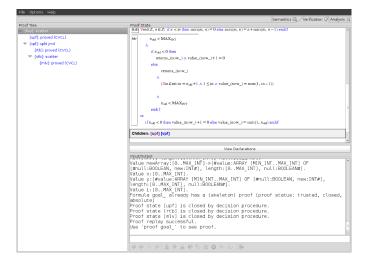
- Blue (sun): the task was solved in the current execution of the RISC ProgramExplorer (automatically or by an interactive proof).
- Violet (partially clouded): the task was solved in a previous execution by an interactive proof.
  - Nothing has changed, so we need not perform the proof again.
  - However, we may replay the proof to investigate it.
- Red (partially clouded): there exists a proof but it is either not complete or cannot be trusted any more (something has changed).
- Red (fully clouded): there does not yet exist a proof.

Select "Execute Task" to start/replay a proof, "Show Proof" to display a proof, "Reset Task" to delete a proof.

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### **A Postcondition Proof**





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### The Representation of Arrays



The program type int[] is mapped to the mathematical type Base.IntArray.

```
theory Base
{
    ...
    IntArray: TYPE =
        [#value: ARRAY int OF int, length: nat, null: BOOLEAN#];
    ...
}
```

- (VAR a).length: the number of elements in array a.
- **■** (VAR a).value[i]: the element with index i in array a.
- (VAR a).null: a is the null pointer.

Program type *Class* is mapped to mathematical type *Class*. *Class*; *Class*[] is mapped to *Class*.Array.

### **Linear Search**



```
/*@..
public class Searching
{
   public static int search(int[] a, int x) /*@..
   {
      int n = a.length;
      int r = -1;
      int i = 0;
      while (i < n && r == -1) /*@..
      {
        if (a[i] == x)
           r = i;
        else
           i = i+1;
      }
      return r;
   }
}</pre>
```

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# Theory

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### **Method Specification**



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### **Loop Annotation**



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### **Method Semantics**



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### **Transition Relation**

```
(∃in∈Base.int, n∈Base.int:

n = \text{old } a.\text{length} \land (\text{in} \ge n \lor \text{value@next} \ne -1) \land 0 \le \text{in } \land \text{in} \le n

\land

(∀i∈ℤ: 0 \le i \land i < \text{in} \Rightarrow \text{old } a.\text{value}[i] \ne \text{old } x)

\land

( value@next = -1

\lor

value@next = in \land in \lt n \land old a.\text{value}[\text{value@next}] = \text{old } x)) \land \neg old a.\text{null}

returns@next
```

#### **Termination Condition**

executes@now  $\Rightarrow$  old a.length  $\geq 0$ 

### **Verification Tasks**



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### ILIUII TASKS

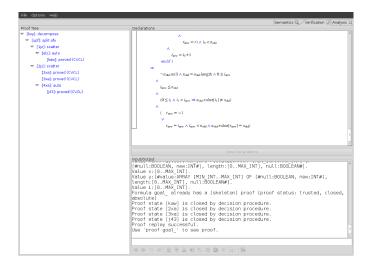
-	
▽ 🛅	method search
	🤃 [Searching.search] effects
	🚵 [Searching.search] postcondition
	🔅 [Searching.search] termination
$\triangle$	preconditions
	🚵 [Searching.search:0] declaration precondition
	🔆 [Searching.search:1] declaration precondition
	答 [Searching.search:2] while loop precondition
	答 [Searching.search:3] conditional precondition
	送 [Searching.search:4] assignment precondition
$\nabla$	in loops
	🚵 [Searching.search:rbl] invariant is preserved
	[Searching.search:rbl] measure is well-formed
	[Searching.search:rbl] measure is decreased
$\nabla$	type checking conditions
	[Searching.(local):p3x] value is in interval
	[Searching.(local):smu] value is in interval
	[Searching.(local):unx] value is in interval
Þ	specification validation (optional)

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### **Invariant Proof**



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### **Working Strategy**



- Develop theory.
  - Introduce interesting theorems that may be used in verifications.
- Develop specifications.
  - Validate specifications, e.g. by showing satisfiability and non-triviality.
- Develop program with annotations.
  - Validate programs/annotations by investigating program semantics.
- Prove postcondition and termination.
  - Partial and total correctness.
  - By proofs necessity of additional theorems may be detected.
- Prove precondition tasks and loop tasks.
  - By proofs necessity of additional theorems may be detected.
- Prove mathematical theorems.
  - Validation of auxiliary knowledge used in verifications.

The integrated development of theories, specifications, programs, annotations is crucial for the design of provably correct programs.

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