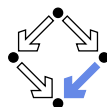


# Logic and Proving

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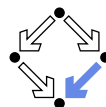


## 1. The Language of Logic

## 2. The Art of Proving

## 3. The RISC ProofNavigator

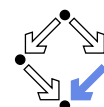
# The Language of Logic



Two kinds of syntactic phrases.

- **Term**  $T$  denoting an **object**.
  - Variable  $x$
  - Object constant  $c$
  - Function application  $f(T_1, \dots, T_n)$   
 $n$ -ary function constant  $f$  (may be written infix)
- **Formula**  $F$  denoting a **truth value**.
  - Atomic formula  $p(T_1, \dots, T_n)$  (may be written infix)  
 $n$ -ary predicate constant  $p$ .
  - Negation  $\neg F$  ("not  $F$ ")
  - Conjunction  $F_1 \wedge F_2$  (" $F_1$  and  $F_2$ ")
  - Disjunction  $F_1 \vee F_2$  (" $F_1$  or  $F_2$ ")
  - Implication  $F_1 \Rightarrow F_2$  ("if  $F_1$ , then  $F_2$ ")
  - Equivalence  $F_1 \Leftrightarrow F_2$  ("if  $F_1$ , then  $F_2$ , and vice versa")
  - Universal quantification  $\forall x : F$  ("for all  $x$ ,  $F$ ")
  - Existential quantification  $\exists x : F$  ("for some  $x$ ,  $F$ ")

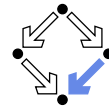
# Syntactic Shortcuts



- $\forall x_1, \dots, x_n : F$ 
  - $\forall x_1 : \dots : \forall x_n : F$
- $\exists x_1, \dots, x_n : F$ 
  - $\exists x_1 : \dots : \exists x_n : F$
- $\forall x \in S : F$ 
  - $\forall x : x \in S \Rightarrow F$
- $\exists x \in S : F$ 
  - $\exists x : x \in S \wedge F$

Help to make formulas more readable.

## Examples



Terms and formulas may appear in various syntactic forms.

### Terms:

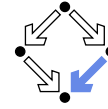
$$\begin{aligned} &\exp(x) \\ &a \cdot b + 1 \\ &a[i] \cdot b \\ &\sqrt{\frac{x^2 + 2x + 1}{(y+1)^2}} \end{aligned}$$

### Formulas:

$$\begin{aligned} &a^2 + b^2 = c^2 \\ &n \mid 2n \\ &\forall x \in \mathbb{N} : x \geq 0 \\ &\forall x \in \mathbb{N} : 2 \mid x \vee 2 \mid (x + 1) \\ &\forall x \in \mathbb{N}, y \in \mathbb{N} : x < y \Rightarrow \\ &\quad \exists z \in \mathbb{N} : x + z = y \end{aligned}$$

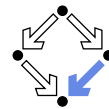
Terms and formulas may be nested arbitrarily deeply.

## The Meaning of Formulas



- Atomic formula  $p(T_1, \dots, T_n)$ 
  - True if the predicate denoted by  $p$  holds for the values of  $T_1, \dots, T_n$ .
- Negation  $\neg F$ 
  - True if and only if  $F$  is false.
- Conjunction  $F_1 \wedge F_2$  (“ $F_1$  and  $F_2$ ”)
  - True if and only if  $F_1$  and  $F_2$  are both true.
- Disjunction  $F_1 \vee F_2$  (“ $F_1$  or  $F_2$ ”)
  - True if and only if at least one of  $F_1$  or  $F_2$  is true.
- Implication  $F_1 \Rightarrow F_2$  (“if  $F_1$ , then  $F_2$ ”)
  - False if and only if  $F_1$  is true and  $F_2$  is false.
- Equivalence  $F_1 \Leftrightarrow F_2$  (“if  $F_1$ , then  $F_2$ , and vice versa”)
  - True if and only if  $F_1$  and  $F_2$  are both true or both false.
- Universal quantification  $\forall x : F$  (“for all  $x$ ,  $F$ ”)
  - True if and only if  $F$  is true for every possible value assignment of  $x$ .
- Existential quantification  $\exists x : F$  (“for some  $x$ ,  $F$ ”)
  - True if and only if  $F$  is true for at least one value assignment of  $x$ .

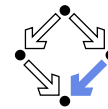
## Example



We assume the domain of natural numbers and the “classical” interpretation of constants 1, 2, +, =, <.

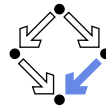
- $1 + 1 = 2$ 
  - True.
- $1 + 1 = 2 \vee 2 + 2 = 2$ 
  - True.
- $1 + 1 = 2 \wedge 2 + 2 = 2$ 
  - False.
- $1 + 1 = 2 \Rightarrow 2 = 1 + 1$ 
  - True.
- $1 + 1 = 1 \Rightarrow 2 + 2 = 2$ 
  - True.
- $1 + 1 = 2 \Rightarrow 2 + 2 = 2$ 
  - False.
- $1 + 1 = 1 \Leftrightarrow 2 + 2 = 2$ 
  - True.

## Example



- $x + 1 = 1 + x$ 
  - True, for every assignment of a number  $a$  to variable  $x$ .
- $\forall x : x + 1 = 1 + x$ 
  - True (because for every assignment  $a$  to  $x$ ,  $x + 1 = 1 + x$  is true).
- $x + 1 = 2$ 
  - If  $x$  is assigned “one”, the formula is true.
  - If  $x$  is assigned “two”, the formula is false.
- $\exists x : x + 1 = 2$ 
  - True (because  $x + 1 = 2$  is true for assignment “one” to  $x$ ).
- $\forall x : x + 1 = 2$ 
  - False (because  $x + 1 = 2$  is false for assignment “two” to  $x$ ).
- $\forall x : \exists y : x < y$ 
  - True (because for every assignment  $a$  to  $x$ , there exists the assignment  $a + 1$  to  $y$  which makes  $x < y$  true).
- $\exists y : \forall x : x < y$ 
  - False (because for every assignment  $a$  to  $y$ , there is the assignment  $a + 1$  to  $x$  which makes  $x < y$  false).

## Formula Equivalences

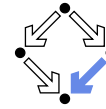


Formulas may be replaced by equivalent formulas.

- $\neg\neg F_1 \iff F_1$
- $\neg(F_1 \wedge F_2) \iff \neg F_1 \vee \neg F_2$
- $\neg(F_1 \vee F_2) \iff \neg F_1 \wedge \neg F_2$
- $\neg(F_1 \Rightarrow F_2) \iff F_1 \wedge \neg F_2$
- $\neg\forall x : F \iff \exists x : \neg F$
- $\neg\exists x : F \iff \forall x : \neg F$
- $F_1 \Rightarrow F_2 \iff \neg F_2 \Rightarrow \neg F_1$
- $F_1 \Rightarrow F_2 \iff \neg F_1 \vee F_2$
- $F_1 \Leftrightarrow F_2 \iff \neg F_1 \Leftrightarrow \neg F_2$
- ...

Familiarity with manipulation of formulas is important.

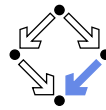
## Example



- “All swans are white or black.”
  - $\forall x : swan(x) \Rightarrow white(x) \vee black(x)$
- “There exists a black swan.”
  - $\exists x : swan(x) \wedge black(x)$ .
- “A swan is white, unless it is black.”
  - $\forall x : swan(x) \wedge \neg black(x) \Rightarrow white(x)$
  - $\forall x : swan(x) \wedge \neg white(x) \Rightarrow black(x)$
  - $\forall x : swan(x) \Rightarrow white(x) \vee black(x)$
- “Not everything that is white or black is a swan.”
  - $\neg\forall x : white(x) \vee black(x) \Rightarrow swan(x)$ .
  - $\exists x : (white(x) \vee black(x)) \wedge \neg swan(x)$ .
- “Black swans have at least one black parent”.
  - $\forall x : swan(x) \wedge black(x) \Rightarrow \exists y : swan(y) \wedge black(y) \wedge parent(y, x)$

It is important to recognize the logical structure of an informal sentence in its various equivalent forms.

## The Usage of Formulas



Precise formulation of statements describing object relationships.

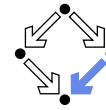
- **Statement:**

If  $x$  and  $y$  are natural numbers and  $y$  is not zero, then  $q$  is the truncated quotient of  $x$  divided by  $y$ .
- **Formula:**
$$x \in \mathbb{N} \wedge y \in \mathbb{N} \wedge y \neq 0 \Rightarrow q \in \mathbb{N} \wedge \exists r \in \mathbb{N} : r < y \wedge x = y \cdot q + r$$
- **Problem specification:**

Given natural numbers  $x$  and  $y$  such that  $y$  is not zero, compute the truncated quotient  $q$  of  $x$  divided by  $y$ .

  - Inputs:  $x, y$
  - Input condition:  $x \in \mathbb{N} \wedge y \in \mathbb{N} \wedge y \neq 0$
  - Output:  $q$
  - Output condition:  $q \in \mathbb{N} \wedge \exists r \in \mathbb{N} : r < y \wedge x = y \cdot q + r$

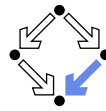
## Problem Specifications



- The **specification** of a computation problem:
  - Input: variables  $x_1 \in S_1, \dots, x_n \in S_n$
  - Input condition: formula  $I(x_1, \dots, x_n)$ .
  - Output: variables  $y_1 \in T_1, \dots, y_m \in T_n$
  - Output condition: formula  $O(x_1, \dots, x_n, y_1, \dots, y_m)$ .
    - $F(x_1, \dots, x_n)$ : only  $x_1, \dots, x_n$  are free in  $F$ .
    - $x$  is *free* in  $F$ , if not every occurrence of  $x$  is inside the scope of a quantifier (such as  $\forall$  or  $\exists$ ) that binds  $x$ .
- An **implementation** of the specification:
  - A function (program)  $f : S_1 \times \dots \times S_n \rightarrow T_1 \times \dots \times T_m$  such that
$$\forall x_1 \in S_1, \dots, x_n \in S_n : I(x_1, \dots, x_n) \Rightarrow \mathbf{let} (y_1, \dots, y_m) = f(x_1, \dots, x_n) \mathbf{in} O(x_1, \dots, x_n, y_1, \dots, y_m)$$
  - For all arguments that satisfy the input condition,  $f$  must compute results that satisfy the output condition.

Basis of all specification formalisms.

## Example: A Problem Specification



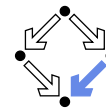
Given an integer array  $a$ , a position  $p$  in  $a$ , and a length  $l$ , return the array  $b$  derived from  $a$  by removing  $a[p], \dots, a[p + l]$ .

- **Input:**  $a \in \mathbb{Z}^*$ ,  $p \in \mathbb{N}$ ,  $l \in \mathbb{N}$
- **Input condition:**  
 $p + l \leq \text{length}_{\mathbb{Z}}(a)$
- **Output:**  $b \in \mathbb{Z}^*$
- **Output condition:**  
let  $n = \text{length}_{\mathbb{Z}}(a)$  in  
 $\text{length}(b) = n - l \wedge$   
 $(\forall i \in \mathbb{N} : i < p \Rightarrow b[i] = a[i]) \wedge$   
 $(\forall i \in \mathbb{N} : p \leq i < n - l \Rightarrow b[i] = a[i + l])$

Mathematical theory:

$$T^* := \bigcup_{i \in \mathbb{N}} T^i, T^i := \mathbb{N}_i \rightarrow T, \mathbb{N}_i := \{n \in \mathbb{N} : n < i\}$$
$$\text{length}_T : T^* \rightarrow \mathbb{N}, \text{length}_T(a) = \text{such } i \in \mathbb{N} : a \in T^i$$

## Validating Problem Specifications



Given a problem specification with input condition  $I(x)$  and output condition  $O(x, y)$ .

- **Correctness:** take some legal input(s)  $a$  with legal output(s)  $b$ .
  - Check that  $I(a)$  and  $O(a, b)$  indeed hold.
- **Falseness:** take some legal input(s)  $a$  with illegal output(s)  $b$ .
  - Check that  $I(a)$  holds and  $O(a, b)$  does not hold.
- **Satisfiability:** every legal input should have some legal output.
  - Check  $\forall x : I(x) \Rightarrow \exists y : O(x, y)$ .
- **Non-triviality:** for every legal input not every output should be legal.
  - Check  $\forall x : I(x) \Rightarrow \exists y : \neg O(x, y)$ .

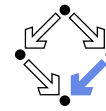
A formal specification does not necessarily capture our intention!

## 1. The Language of Logic

## 2. The Art of Proving

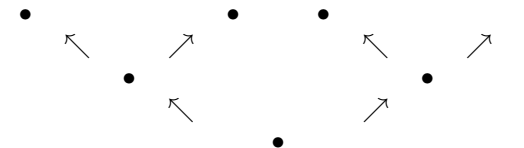
## 3. The RISC ProofNavigator

## Proofs



A **proof** is a structured argument that a formula is true.

- A tree whose nodes represent **proof situations (states)**.

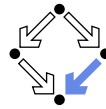


- Each proof situation consists of **knowledge** and a **goal**.

$$\bullet K_1, \dots, K_n \vdash G$$

- Knowledge  $K_1, \dots, K_n$ : formulas assumed to be true.
- Goal  $G$ : formula to be proved relative to knowledge.
- The **root** of the tree is the initial proof situation.
  - $K_1, \dots, K_n$ : axioms of mathematical background theories.
  - $G$ : formula to be proved.

## Proof Rules



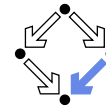
A **proof rule** describes how a proof situation can be reduced to zero, one, or more “subsituations”.

$$\frac{\dots \vdash \dots \quad \dots \vdash \dots}{K_1, \dots, K_n \vdash G}$$

- Rule may or may not close the (sub)proof:
  - Zero subsituations:  $G$  has been proved, (sub)proof is closed.
  - One or more subsituations:  $G$  is proved, if all subgoals are proved.
- **Top-down rules:** focus on  $G$ .
  - $G$  is decomposed into simpler goals  $G_1, G_2, \dots$
- **Bottom-up rules:** focus on  $K_1, \dots, K_n$ .
  - Knowledge is extended to  $K_1, \dots, K_n, K_{n+1}$ .

In each proof situation, we aim at showing that the goal is “apparently” true with respect to the given knowledge.

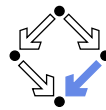
## Conjunction $F_1 \wedge F_2$



$$\frac{K \vdash G_1 \quad K \vdash G_2}{K \vdash G_1 \wedge G_2} \quad \frac{\dots, K_1 \wedge K_2, K_1, K_2 \vdash G}{\dots, K_1 \wedge K_2 \vdash G}$$

- **Goal  $G_1 \wedge G_2$ .**
  - Create two subsituations with goals  $G_1$  and  $G_2$ .  
We have to show  $G_1 \wedge G_2$ .
    - We show  $G_1$ : ... (proof continues with goal  $G_1$ )
    - We show  $G_2$ : ... (proof continues with goal  $G_2$ )
- **Knowledge  $K_1 \wedge K_2$ .**
  - Create one subsituation with  $K_1$  and  $K_2$  in knowledge.  
We know  $K_1 \wedge K_2$ . We thus also know  $K_1$  and  $K_2$ .  
(proof continues with current goal and additional knowledge  $K_1$  and  $K_2$ )

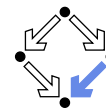
## Disjunction $F_1 \vee F_2$



$$\frac{K, \neg G_1 \vdash G_2}{K \vdash G_1 \vee G_2} \quad \frac{\dots, K_1 \vdash G \quad \dots, K_2 \vdash G}{\dots, K_1 \vee K_2 \vdash G}$$

- **Goal  $G_1 \vee G_2$ .**
  - Create one subsituation where  $G_2$  is proved under the assumption that  $G_1$  does not hold (or vice versa):  
We have to show  $G_1 \vee G_2$ . We assume  $\neg G_1$  and show  $G_2$ .  
(proof continues with goal  $G_2$  and additional knowledge  $\neg G_1$ )
- **Knowledge  $K_1 \vee K_2$ .**
  - Create two subsituations, one with  $K_1$  and one with  $K_2$  in knowledge.  
We know  $K_1 \vee K_2$ . We thus proceed by case distinction:
    - Case  $K_1$ : ... (proof continues with current goal and additional knowledge  $K_1$ ).
    - Case  $K_2$ : ... (proof continues with current goal and additional knowledge  $K_2$ ).

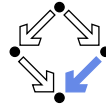
## Implication $F_1 \Rightarrow F_2$



$$\frac{K, G_1 \vdash G_2}{K \vdash G_1 \Rightarrow G_2} \quad \frac{\dots \vdash K_1 \quad \dots, K_2 \vdash G}{\dots, K_1 \Rightarrow K_2 \vdash G}$$

- **Goal  $G_1 \Rightarrow G_2$** 
  - Create one subsituation where  $G_2$  is proved under the assumption that  $G_1$  holds:  
We have to show  $G_1 \Rightarrow G_2$ . We assume  $G_1$  and show  $G_2$ .  
(proof continues with goal  $G_2$  and additional knowledge  $G_1$ )
- **Knowledge  $K_1 \Rightarrow K_2$** 
  - Create two subsituations, one with goal  $K_1$  and one with knowledge  $K_2$ .  
We know  $K_1 \Rightarrow K_2$ .
    - We show  $K_1$ : ... (proof continues with goal  $K_1$ )
    - We know  $K_2$ : ... (proof continues with current goal and additional knowledge  $K_2$ ).

## Equivalence $F_1 \Leftrightarrow F_2$



$$\frac{K \vdash G_1 \Rightarrow G_2 \quad K \vdash G_2 \Rightarrow G_1}{K \vdash G_1 \Leftrightarrow G_2} \quad \frac{\dots \vdash (\neg)K_1 \quad \dots, (\neg)K_2 \vdash G}{\dots, K_1 \Leftrightarrow K_2 \vdash G}$$

### ■ Goal $G_1 \Leftrightarrow G_2$

- Create two subsituations with implications in both directions as goals:  
We have to show  $G_1 \Leftrightarrow G_2$ .

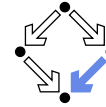
- We show  $G_1 \Rightarrow G_2$ : ... (proof continues with goal  $G_1 \Rightarrow G_2$ )
- We show  $G_2 \Rightarrow G_1$ : ... (proof continues with goal  $G_2 \Rightarrow G_1$ )

### ■ Knowledge $K_1 \Leftrightarrow K_2$

- Create two subsituations, one with goal  $(\neg)K_1$  and one with knowledge  $(\neg)K_2$ .  
We know  $K_1 \Leftrightarrow K_2$ .

- We show  $(\neg)K_1$ : ... (proof continues with goal  $(\neg)K_1$ )
- We know  $(\neg)K_2$ : ... (proof continues with current goal and additional knowledge  $(\neg)K_2$ )

## Universal Quantification $\forall x : F$



$$\frac{K \vdash G[x_0/x]}{K \vdash \forall x : G} \quad (x_0 \text{ new for } K, G) \quad \frac{\dots, \forall x : K, K[T/x] \vdash G}{\dots, \forall x : K \vdash G}$$

### ■ Goal $\forall x : G$

- Introduce new (arbitrarily named) constant  $x_0$  and create one subsituation with goal  $G[x_0/x]$ .

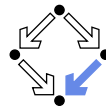
We have to show  $\forall x : G$ . Take arbitrary  $x_0$ .  
We show  $G[x_0/x]$ . (proof continues with goal  $G[x_0/x]$ )

### ■ Knowledge $\forall x : K$

- Choose term  $T$  to create one subsituation with formula  $K[T/x]$  added to the knowledge.

We know  $\forall x : K$  and thus also  $K[T/x]$ .  
(proof continues with current goal and additional knowledge  $K[T/x]$ )

## Existential Quantification $\exists x : F$



$$\frac{K \vdash G[T/x]}{K \vdash \exists x : G} \quad \frac{\dots, K[x_0/x] \vdash G}{\dots, \exists x : K \vdash G} \quad (x_0 \text{ new for } K, G)$$

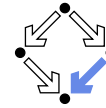
### ■ Goal $\exists x : G$

- Choose term  $T$  to create one subsituation with goal  $G[T/x]$ .  
We have to show  $\exists x : G$ . It suffices to show  $G[T/x]$ .  
(proof continues with goal  $G[T/x]$ )

### ■ Knowledge $\exists x : K$

- Introduce new (arbitrarily named constant)  $x_0$  and create one subsituation with additional knowledge  $K[x_0/x]$ .  
We know  $\exists x : K$ . Let  $x_0$  be such that  $K[x_0/x]$ .  
(proof continues with current goal and additional knowledge  $K[x_0/x]$ )

## Example



We show

$$(a) (\exists x : \forall y : P(x, y)) \Rightarrow (\forall y : \exists x : P(x, y))$$

We assume

$$(1) \exists x : \forall y : P(x, y)$$

and show

$$(b) \forall y : \exists x : P(x, y)$$

Take arbitrary  $y_0$ . We show

$$(c) \exists x : P(x, y_0)$$

From (1) we know for some  $x_0$

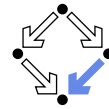
$$(2) \forall y : P(x_0, y)$$

From (2) we know

$$(3) P(x_0, y_0)$$

From (3), we know (c). QED.

## Example



We show

$$(a) (\exists x : p(x)) \wedge (\forall x : p(x) \Rightarrow \exists y : q(x, y)) \Rightarrow (\exists x, y : q(x, y))$$

We assume

$$(1) (\exists x : p(x)) \wedge (\forall x : p(x) \Rightarrow \exists y : q(x, y))$$

and show

$$(b) \exists x, y : q(x, y)$$

From (1), we know

$$(2) \exists x : p(x)$$

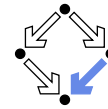
$$(3) \forall x : p(x) \Rightarrow \exists y : q(x, y)$$

From (2) we know for some  $x_0$

$$(4) p(x_0)$$

...

## Example (Contd)



...

From (3), we know

$$(5) p(x_0) \Rightarrow \exists y : q(x_0, y)$$

From (4) and (5), we know

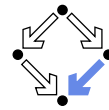
$$(6) \exists y : q(x_0, y)$$

From (6), we know for some  $y_0$

$$(7) q(x_0, y_0)$$

From (7), we know (b). QED.

## Indirect Proofs

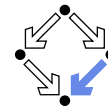


$$\frac{K, \neg G \vdash \text{false}}{K \vdash G} \quad \frac{K, \neg G \vdash F \quad K, \neg G \vdash \neg F}{K \vdash G} \quad \frac{\dots, \neg G \vdash \neg K}{\dots, K \vdash G}$$

- Add  $\neg G$  to the knowledge and show a contradiction.
  - Prove that “false” is true.
  - Prove that a formula  $F$  is true and also prove that it is false.
  - Prove that some knowledge  $K$  is false, i.e. that  $\neg K$  is true.
    - Switches goal  $G$  and knowledge  $K$  (negating both).

Sometimes simpler than a direct proof.

## Example



We show

$$(a) (\exists x : \forall y : P(x, y)) \Rightarrow (\forall y : \exists x : P(x, y))$$

We assume

$$(1) \exists x : \forall y : P(x, y)$$

and show

$$(b) \forall y : \exists x : P(x, y)$$

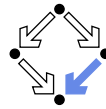
We assume

$$(2) \neg \forall y : \exists x : P(x, y)$$

and show a contradiction.

...

## Example



...

From (2), we know

$$(3) \exists y : \forall x : \neg P(x, y)$$

Let  $y_0$  be such that

$$(4) \forall x : \neg P(x, y_0)$$

From (1) we know for some  $x_0$

$$(5) \forall y : P(x_0, y)$$

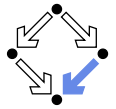
From (5) we know

$$(6) P(x_0, y_0)$$

From (4), we know

$$(7) \neg P(x_0, y_0)$$

From (6) and (7), we have a contradiction. QED.

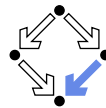


## 1. The Language of Logic

## 2. The Art of Proving

## 3. The RISC ProofNavigator

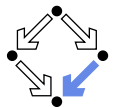
## The RISC ProofNavigator



- **An interactive proving assistant for program verification.**
  - Research Institute for Symbolic Computation (RISC), 2005–:  
<http://www.risc.jku.at/research/formal/software/ProofNavigator>.
  - Development based on prior experience with PVS (SRI, 1993–).
  - Kernel and GUI implemented in Java.
  - Uses external SMT (satisfiability modulo theories) solver.
    - CVCL (Cooperating Validity Checker Lite) 2.0.
  - Runs under Linux (only); freely available as open source (GPL).
- **A language for the definition of logical theories.**
  - Based on a strongly typed higher-order logic (with subtypes).
  - Introduction of types, constants, functions, predicates.
- **Computer support for the construction of proofs.**
  - Commands for basic inference rules and combinations of such rules.
  - Applied interactively within a sequent calculus framework.
  - Top-down elaboration of proof trees.

Designed for simplicity of use; applied to non-trivial verifications.

## Using the Software

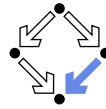


For survey, see “Program Verification with the RISC ProofNavigator”.  
For details, see “The RISC ProofNavigator: Tutorial and Manual”.

- **Develop a theory.**
  - Text file with declarations of types, constants, functions, predicates.
  - Axioms (propositions assumed true) and formulas (to be proved).
- **Load the theory.**
  - File is read; declarations are parsed and type-checked.
  - Type-checking conditions are generated and proved.
- **Prove the formulas in the theory.**
  - Human-guided top-down elaboration of proof tree.
  - Steps are recorded for later replay of proof.
  - Proof status is recorded as “open” or “completed”.
- **Modify theory and repeat above steps.**
  - Software maintains dependencies of declarations and proofs.
  - Proofs whose dependencies have changed are tagged as “untrusted”.



## Starting the Software



### Starting the software:

ProofNavigator & (32 bit machines at RISC)  
 ProofNavigator64 & (64 bit machines at RISC)

### Command line options:

Usage: ProofNavigator [OPTION]... [FILE]

FILE: name of file to be read on startup.

OPTION: one of the following options:

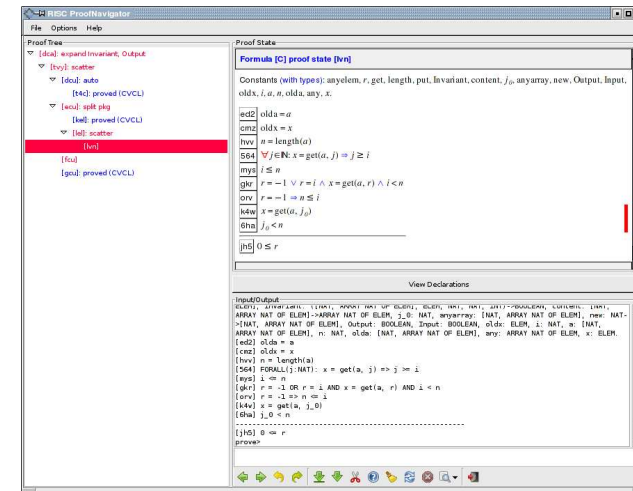
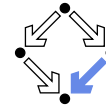
- n, --nogui: use command line interface.
- c, --context NAME: use subdir NAME to store context.
- cvcl PATH: PATH refers to executable "cvcl".
- s, --silent: omit startup message.
- h, --help: print this message.

### Repository stored in subdirectory of current working directory:

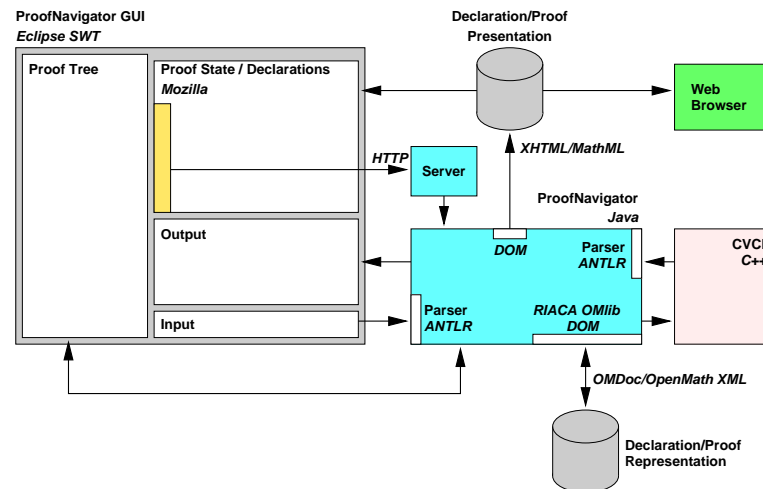
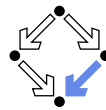
ProofNavigator/

- Option -c *dir* or command newcontext "*dir*" :
  - Switches to repository in directory *dir*.

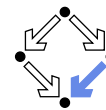
## The Graphical User Interface



## The Software Architecture



## Software Components



### Graphical user interface.

- Display of declarations and proof state.
- Embeds HTML browser as core component.

### Proof engine.

- Commands for navigating the proof.
- Interaction with validity checker to simplify/close proof states.

### Validity checker.

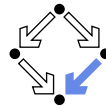
- Simplifies formulas
- Checks the validity of formulas.
- Produces counterexamples for (presumably) invalid formulas.

### Object repository.

- Proof persistence.
- Proof status management.

All data are externally represented in (gzipped) XML.

# A Theory



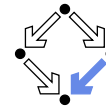
```
% switch repository to "sum"
newcontext "sum";

% the recursive definition of the sum from 0 to n
sum: NAT->NAT;
S1: AXIOM sum(0)=0;
S2: AXIOM FORALL(n:NAT): n>0 => sum(n)=n+sum(n-1);

% proof that explicit form is equivalent to recursive definition
S: FORMULA FORALL(n:NAT): sum(n) = (n+1)*n/2;
```

Declarations written with an external editor in a text file.

# Proving a Formula



When the file is loaded, the declarations are pretty-printed:

```
sum ∈ ℕ → ℕ
axiom S1 ≡ sum(0) = 0
axiom S2 ≡ ∀ n ∈ ℕ: n > 0 ⇒ sum(n) = n + sum(n-1)
S ≡ ∀ n ∈ ℕ: sum(n) = (n+1)·n / 2
```

The proof of a formula is started by the prove command.

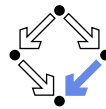
**Formula S**

prove S: Construct Proof

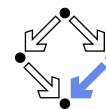
proof S: Show Proof

formula S: Print Formula

# Proving a Formula



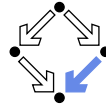
# Proving a Formula



- Proof of formula  $F$  is represented as a **tree**.
  - Each tree node denotes a **proof state (goal)**.
    - Logical sequent:  $A_1, A_2, \dots \vdash B_1, B_2, \dots$
    - Interpretation:  $(A_1 \wedge A_2 \wedge \dots) \Rightarrow (B_1 \vee B_2 \vee \dots)$
  - Initially single node  $Axioms \vdash F$ .
- The **tree must be expanded to completion**.
  - Every leaf must denote an obviously valid formula.
    - Some  $A_i$  is false or some  $B_j$  is true.
- A proof step consists of the **application of a proving rule to a goal**.
  - Either the goal is recognized as true.
  - Or the goal becomes the parent of a number of children (subgoals).
    - The conjunction of the subgoals implies the parent goal.

$$\begin{array}{l} \text{Constants: } x_0 \in S_0, \dots \\ [L_1] \quad A_1 \\ \dots \\ [L_n] \quad A_n \\ \hline [L_{n+1}] \quad B_1 \\ \dots \\ [L_{n+m}] \quad B_m \end{array}$$

## An Open Proof Tree



Proof Tree

- [tca]: induction n in byu
  - [dbj]: proved (CVCL)
  - [ebj]

Formula [S] proof state [dbj]

Constants (with types): sum.

lxe  $\forall n \in \mathbb{N}: n > 0 \Rightarrow \text{sum}(n) = n + \text{sum}(n-1)$

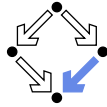
d3i  $\text{sum}(0) = 0$

nfq  $\text{sum}(0) = \frac{(0+1) \cdot 0}{2}$

Parent: [tca]

Closed goals are indicated in blue; goals that are open (or have open subgoals) are indicated in red. The red bar denotes the “current” goal.

## A Completed Proof Tree

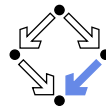


Proof Tree

- [tca]: induction n in byu
  - [dbj]: proved (CVCL)
  - [ebj]: instantiate n\_0+1 in lxe
    - [k5f]: proved (CVCL)

The visual representation of the complete proof structure; by clicking on a node, the corresponding proof state is displayed.

## Navigation Commands

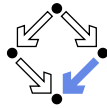


Various buttons support navigation in a proof tree.

- : prev
  - Go to previous open state in proof tree.
- : next
  - Go to next open state in proof tree.
- : undo
  - Undo the proof command that was issued in the parent of the current state; this discards the whole proof tree rooted in the parent.
- : redo
  - Redo the proof command that was previously issued in the current state but later undone; this restores the discarded proof tree.

Single click on a node in the proof tree displays the corresponding state; double click makes this state the current one.

## Proving Commands

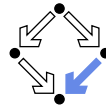


The most important proving commands can be also triggered by buttons.

- (scatter)
  - Recursively applies decomposition rules to the current proof state and to all generated child states; attempts to close the generated states by the application of a validity checker.
- (decompose)
  - Like scatter but generates a single child state only (no branching).
- (split)
  - Splits current state into multiple children states by applying rule to current goal formula (or a selected formula).
- (auto)
  - Attempts to close current state by instantiation of quantified formulas.
- (autostar)
  - Attempts to close current state and its siblings by instantiation.

Automatic decomposition of proofs and closing of proof states.

## Proving Commands

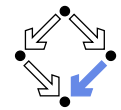


More commands can be selected from the menus.





- `assume`
  - Introduce a new assumption in the current state; generates a sibling state where this assumption has to be proved.
- `case`:
  - Split current state by a formula which is assumed as true in one child state and as false in the other.
- `expand`:
  - Expand the definitions of denoted constants, functions, or predicates.
- `lemma`:
  - Introduce another (previously proved) formula as new knowledge.
- `instantiate`:
  - Instantiate a universal assumption or an existential goal.
- `induction`:
  - Start an induction proof on a goal formula that is universally quantified over the natural numbers.

Here the creativity of the user is required!

## Auxiliary Commands

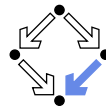


Some buttons have no command counterparts.

- : `counterexample`
  - Generate a “counterexample” for the current proof state, i.e. an interpretation of the constants that refutes the current goal.
- :
  - Abort current prover activity (proof state simplification or counterexample generation).
- :
  - Show menu that lists all commands and their (optional) arguments.
- :
  - Simplify current state (if automatic simplification is switched off).

More facilities for proof control.

## Proving Strategies



- Initially: semi-automatic proof decomposition.
  - `expand` expands constant, function, and predicate definitions.
  - `scatter` aggressively decomposes a proof into subproofs.
  - `decompose` simplifies a proof state without branching.
  - `induction` for proofs over the natural numbers.
- Later: critical hints given by user.
  - `assume` and `case cut` proof states by conditions.
  - `instantiate` provide specific formula instantiations.
- Finally: simple proof states are yielded that can be automatically closed by the validity checker.
  - `auto` and `autostar` may help to close formulas by the heuristic instantiation of quantified formulas.

Appropriate combination of semi-automatic proof decomposition, critical hints given by the user, and the application of a validity checker is crucial.