

Sets, Functions, Domains

Wolfgang Schreiner

Research Institute for Symbolic Computation (RISC-Linz)
Johannes Kepler University, A-4040 Linz, Austria

Wolfgang.Schreiner@risc.uni-linz.ac.at
<http://www.risc.uni-linz.ac.at/people/schreine>

Sets

Collections of elements.

- Enumeration of elements

$\{1, \{1, 4, 7\}, 4\}, \{\text{red, yellow}\}, \{\}$

- Defining property

$\{x | P(x)\}$

$\{x | x \text{ is an even integer}\}$

Examples

- Natural numbers **N**

$\{0, 1, 2, \dots\}$

- Truth values (Booleans) **B**

$\{\text{true, false}\}$

- Rational numbers **Q**

$\{x | x = p/q \text{ for some } p, q \in \mathbf{N}, q \neq 0\}$

Set Predicates

Based on concept of membership

- Membership $x \in S$

Only basic predicate
(sets are black boxes otherwise)

- Equivalence $R = S$

$x \in R \Leftrightarrow x \in S$ (for all x)
(extensionality principle)

- Subset $R \subseteq S$

$x \in R \Rightarrow x \in S$ (for all x)
($\{\} \subseteq S, S \subseteq S$)

Set Constructions

Composition of sets

- Union $R \cup S$

$$\{x | x \in R \text{ or } x \in S\}$$

$$\cup_i S_i = S_{j_1} \cup S_{j_2} \cup \dots \cup S_{j_n}$$

- Intersection $R \cap S$

$$\{x | x \in R \text{ and } x \in S\}$$

$$\cap_i S_i = S_{j_1} \cap S_{j_2} \cap \dots \cap S_{j_n}$$

- Powerset $\mathbf{P}(R)$

$$\{x | x \subseteq R\}$$

$$(\{\}) \in \mathbf{P}(R), R \in \mathbf{P}(R)$$

Product

Concept of ordered pair

- Constructor (x, y)

- Selectors

$$\text{fst}(x,y) = x$$

$$\text{snd}(x,y) = y$$

- Product $R \times S$

$$\{(x, y) | x \in R \text{ and } y \in S\}$$

Sum

Concept of disjoint union

- Sum $R + S$

$\{(\text{zero}, x) | x \in R\} \cup \{(\text{one}, y) | y \in S\}$
“tags” to preserve origin of element

- Constructors

$\text{inR}(x) = (\text{zero}, x)$ (for $x \in R$)
 $\text{inS}(y) = (\text{one}, y)$ (for $y \in S$)

- Selector

cases m of

$\text{isR}(x) \Rightarrow \boxed{\dots x \dots}$

$\text{isS}(y) \Rightarrow \boxed{\dots y \dots}$

end

$m = (\text{zero}, x) \Rightarrow \boxed{\dots x \dots}$

$m = (\text{zero}, y) \Rightarrow \boxed{\dots y \dots}$

Functions

Black box that accepts object as input and produces another object as output

Definition in terms of sets

- $f : R \Rightarrow S$

f is function from R to S

R domain of f , S codomain of f

$R \Rightarrow S$ arity (functionality) of f

- Application $f(a)$

$a \in R, f(a) \in S$

- Equality $f = g$

$f, g : R \Rightarrow S$ $f(x) = g(x)$ (for all x)

(extensionality principle)

- Composition $f \circ g$

$f : R \Rightarrow S, g : S \Rightarrow T$

$f \circ g : R \Rightarrow T$

$(f \circ g)(x) = g(f(x))$

Classification of Mappings

- Injective (one-one, 1-1)

$f(x) = f(y) \Rightarrow x = y$ (for all $x, y \in R$)

- Surjective (onto)

for every $y \in S$ there is some $x \in R$ such that
 $f(x) \in y$

- Identity function

$f : R \Rightarrow R$

$f(x) = x$ (for all $x \in R$)

- Inverse function

$f : R \Rightarrow S$ injective and surjective

$g : S \Rightarrow R, g(y) = x \Leftrightarrow f(x) = y$

$g = f^{-1}$

Isomorphism

Relationship between sets defined by functions

R and S are isomorphic if there is a pair of functions

$$f : R \Rightarrow S$$

$$g : S \Rightarrow R$$

$f \circ g$ is identity on R

$g \circ f$ is identity on S

f and g are then called *isomorphisms*.

Examples

- $R = \{1, 4, 7\}$ is isomorphic to $S = \{2, 4, 6\}$
- $A \times B$ is isomorphic to $B \times A$
- \mathbf{N} is isomorphic to \mathbf{Z}

Functions as Sets

Every function $f : R \Rightarrow S$ can be represented by its *graph*:

$$\begin{aligned} \text{graph}(f) &= \{(x, f(x)) \mid x \in R\} \\ &\subseteq R \times S \end{aligned}$$

Successor function on \mathbf{Z}

$$\{\dots, (-2, -1), (-1, 0), (0, 1), (1, 2), \dots\}$$

- Function application

$$\begin{aligned} f(a) = b &\Leftrightarrow (a, b) \in \text{graph}(f) \\ f(a) &:= \text{apply}(\text{graph}(f), a) \end{aligned}$$

- Function composition

$$\begin{aligned} \text{graph}(f \circ g) &= \\ \{(x, z) \mid &x \in R \text{ and, for some } y \in S, \\ &(x, y) \in \text{graph}(f) \text{ and} \\ &(y, z) \in \text{graph}(g)\} \end{aligned}$$

Examples

- $add : (\mathbf{N} \times \mathbf{N}) \Rightarrow \mathbf{N}$
 $\{((0, 0), 0), ((1, 0), 1), ((0, 1), 1),$
 $((1, 1), 2), ((2, 0), 2), ((2, 1), 3),$
 $((2, 2), 4), \dots\}$
- $duplicate : R \Rightarrow R \times R, = \{1, 4, 7\}$
 $\{(1, (1, 1)), (4, (4, 4)), (7, (7, 7))\}$
- $which : (\mathbf{B} + \mathbf{N}) \Rightarrow \{\text{isbool}, \text{isnum}\}$
 $\{((\text{zero}, \text{true}), \text{isbool}),$
 $((\text{zero}, \text{false}), \text{isbool}),$
 $((\text{one}, 0), \text{isnum}), ((\text{one}, 1), \text{isnum}),$
 $((\text{one}, 2), \text{isnum}), \dots\}$
- $singleton : \mathbf{N} \Rightarrow \mathbf{P}(\mathbf{N})$
 $\{(0, \{0\}), (1, \{1\}), \dots, (n, \{n\}), \dots\}$
- $nothing : \mathbf{B} \cap \mathbf{N} \Rightarrow \mathbf{B}$
 $\{\}$

Examples

- $split\text{-}add : \mathbf{N} \Rightarrow (\mathbf{N} \Rightarrow \mathbf{N})$

$$\begin{aligned} & \{ (0, \underline{\{(0, 0), (1, 1), (2, 2), \dots\}}), \\ & \quad (1, \underline{\{(0, 1), (1, 2), (2, 3), \dots\}}), \\ & \quad (2, \underline{\{(0, 2), (1, 3), (2, 4), \dots\}}), \\ & \quad \dots, \\ & \quad (n, \underline{\{(0, n), (1, n+1), (2, n+2), \dots\}}), \\ & \quad \dots \} \end{aligned}$$

- $make\text{-}succ : (\mathbf{N} \Rightarrow \mathbf{N}) \Rightarrow (\mathbf{N} \Rightarrow \mathbf{N})$

$$\begin{aligned} & \{ \dots, \\ & \quad (\underline{\{(0, 1), (1, 1), (2, 1), (3, 6), \dots\}}, \\ & \quad \underline{\{(0, 2), (1, 2), (2, 2), (3, 7), \dots\}}), \dots \} \end{aligned}$$

- $apply : (((\mathbf{N} \Rightarrow \mathbf{N})) \times \mathbf{N}) \Rightarrow \mathbf{N}$

$$\begin{aligned} & \{ \dots, \\ & \quad ((\underline{\{(0, 1), (1, 1), (2, 1), (3, 6), \dots\}}, 0), 1), \\ & \quad ((\underline{\{(0, 1), (1, 1), (2, 1), (3, 6), \dots\}}, 1), 1), \\ & \quad ((\underline{\{(0, 1), (1, 1), (2, 1), (3, 6), \dots\}}, 2), 1), \\ & \quad ((\underline{\{(0, 1), (1, 1), (2, 1), (3, 6), \dots\}}, 3), 6), \\ & \quad \dots \} \end{aligned}$$

Functions as Equations

More convenient form of specification

- $add : (\mathbf{N} \times \mathbf{N}) \Rightarrow \mathbf{N}$

$$add(m, n) = m + n$$

- $duplicate : R \Rightarrow R \times R, = \{1, 4, 7\}$

$$duplicate(r) = (r, r)$$

- $which : (\mathbf{B} + \mathbf{N}) \Rightarrow \{\text{isbool}, \text{isnum}\}$

$$which(m) = \text{cases } m \text{ of}$$

$$\text{isB}(b) \Rightarrow \text{isbool}$$

$$\text{isN}(n) \Rightarrow \text{isnum}$$

end

- $singleton : \mathbf{N} \Rightarrow \mathbf{P}(\mathbf{N})$

$$singleton(n) = \{n\}$$

- $nothing : \mathbf{B} \cap \mathbf{N} \Rightarrow \mathbf{B}$

no equational definition (domain empty)!

Equations are just function representations!

Evaluation of Equations

- Definition $f : A \Rightarrow B, f(x) = \alpha$
- Application $f(a_0)$
 1. Substitution $[a_0/x]\alpha$
 2. Simplification to underlying value

Lambda Notation $f = \lambda x.\alpha$

$$\text{split-add}(x) = \lambda y.x + y$$

$$\text{slit-add} = \lambda x.\lambda y.x + y$$

Updating Functions $[a_0 \mapsto b_0]f$

$$([a_0 \mapsto b_0]f)(a_0) = b_0$$

$$([a_0 \mapsto b_0]f)(a) = f(a), \text{ for all } a \neq a_0$$

Semantic Domains

Those sets that are used as value spaces in denotational semantics.

- Primitive domains

\mathbf{N} , \mathbf{Z} , \mathbf{B} , ...

- Compound domains

- Product domains $A \times B$
- Sum domains $A + B$
- Function domains $A \Rightarrow B$
- Lifted domains $A_{\perp} = A \cup \{\perp\}$
 - * \perp = “bottom”
 - * Non-termination, no value at all
 - * Strict functions $f : A_{\perp} \Rightarrow B_{\perp}, f = \lambda x.\alpha$
 - $f(\perp) = \perp$
 - $f(a) = [a/x]\alpha$, for $a \in A$

Semantic Algebras

Format for presenting semantic domains

Rational Numbers

Domain $\text{Rat} = (\mathbf{Z} \times \mathbf{Z})_{\perp}$

Operations

$\text{makerat} : \mathbf{Z} \Rightarrow (\mathbf{Z} \Rightarrow \text{Rat})$

$\text{makerat} = \lambda p. \lambda q. (q = 0) \Rightarrow \perp [] (p, q)$

$\text{addrat} : \text{Rat} \Rightarrow (\text{Rat} \Rightarrow \text{Rat})$

$\text{addrat} = \lambda (p_1, q_1). \lambda (p_2, q_2). ((p_1 * q_2) + (p_2 * q_1), q_1 * q_2)$

$\text{multrat} : \text{Rat} \Rightarrow (\text{Rat} \Rightarrow \text{Rat})$

$\text{multrat} = \lambda (p_1, q_1). \lambda (p_2, q_2). (p_1 * p_2, q_1 * q_2)$

Choice function $e_1 \Rightarrow e_2 [] e_3$

$\Rightarrow e_2$, if $e_1 = \text{true}$

$\Rightarrow e_3$, if $e_1 = \text{false}$