

Graded Quantitative Narrowing

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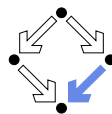
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UnB



RISC

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- Classic technique for solving equational problems.
- Like term rewriting, but variables of terms may be instantiated.
- Sound and complete method for unification w.r.t. complete TRSs (Hullot 1980).

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Computed Solution: $\{n \mapsto S(Z)\}$

Quantitative equational reasoning

Example

Consider the equation $n + 1 = 3n$.

It does not have an exact solution in $\mathbb{N}$, but $\{n \mapsto 0\}$ and $\{n \mapsto 1\}$ could be considered approximate solutions.

- How can this idea (approximate solutions) be formalized? How can rewrite systems be extended to include quantitative information?
→ *Quantitative equational reasoning* (Gavazzo & Di Florio 2023)
- How can narrowing be transferred to the quantitative scenario?
→ This work.

Quantitative equational reasoning

- Equip equations with degrees to measure similarity/proximity of terms rather than just equality: $\varepsilon \Vdash t \approx s$.
- Degrees could correspond to a probability, distance in a metric space, ...
- Main requirements: **compare** and **compose** degrees.

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Definition (Quantale)

Quantale: $\Omega = (\Omega, \preceq, \otimes, \kappa)$, where

- $(\Omega, \kappa, \otimes)$ is a monoid;
- $(\Omega, \preceq)$ is a complete lattice (with join $\vee$ and meet $\wedge$);
- distributivity laws hold:

$$\delta \otimes \left(\bigvee_{i \in I} \varepsilon_i \right) = \bigvee_{i \in I} (\delta \otimes \varepsilon_i), \quad \left(\bigvee_{i \in I} \varepsilon_i \right) \otimes \delta = \bigvee_{i \in I} (\varepsilon_i \otimes \delta)$$

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- We assume that we are working with *Lawverean* quantales, i.e. that
 - ① $\otimes$ is commutative,
 - ② $\kappa = \top$,
 - ③ if $\varepsilon \otimes \delta = \perp$, then either $\varepsilon = \perp$ or $\delta = \perp$,
 - ④ $\kappa \neq \perp$.

Examples of Lawverean quantales: $\mathbb{L}$ and $\mathbb{I}$

Example (Lawvere quantale)

- $\mathbb{L} = ([0, \infty], \geq, +, 0)$.
- Note the direction of the order: 0 is the top element, ∞ is the bottom element.
- View terms as elements of metric spaces, degrees as distances.
- Read $\varepsilon \Vdash t \approx s$ as “the distance between t and s is at most ε ”.
- Corresponds to “Quantitative algebraic reasoning” (Mardare, Panangaden & Plotkin 2016).

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Example (Fuzzy quantales)

- $\mathbb{I} = ([0, 1], \leq, \otimes, 1)$, where $\otimes$ is multiplication or minimum.
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- Corresponds to reasoning with fuzzy similarity relations (w.r.t product/minimum T -norm).

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Quantitative equational reasoning (Gavazzo & Di Florio 2023) covers these (and more) frameworks.

Graded signatures (Gavazzo & Di Florio 2023)

Definition (Change of base endofunctor)

A monotone map $h: \Omega \rightarrow \Omega$ is a CBE if it preserves the unit, products and joins: $h(\kappa) = \kappa$, $h(\varepsilon) \otimes h(\delta) = h(\varepsilon \otimes \delta)$, and $h(\bigvee_{i \in I} \varepsilon_i) = \bigvee_{i \in I} h(\varepsilon_i)$.

CBEs can be used to describe how degrees are transformed under function applications.

Definition (Graded signature)

Graded signature $\mathcal{F}$: A set of function symbols, each endowed with a tuple $(\phi_1, \dots, \phi_n)$ of CBEs called modal arities.

Notation: $f : (\phi_1, \dots, \phi_n) \in \mathcal{F}$.

Definition (Grade of a term)

The grade of a position p of a term t is defined inductively via

- $\partial_\lambda(t) := \mathbb{1}$, (λ : top position, $\mathbb{1}$: identity map)
- $\partial_{i,p}(f(t_1, \dots, t_n)) := \phi_i \circ \partial_p(t_i)$ (where $f : (\phi_1, \dots, \phi_n) \in \mathcal{F}$).

Graded quantitative equational theories (Gavazzo & Di Florio 2023)

- Quantitative ternary relation E : finite set of triples (t, s, ε) (where t, s are terms, $\varepsilon \in \Omega$).
- View elements as quantitative equations: write $\varepsilon \Vdash t \approx s$.

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- View elements as quantitative equations: write $\varepsilon \Vdash t \approx s$.
- Quantitative equational theory induced by E is obtained by the following inference rules:

$$(Ax) \frac{\varepsilon \Vdash t \approx s \in E}{\varepsilon \Vdash t =_E s}$$

$$(Ref) \frac{}{\varepsilon \Vdash t =_E t}$$

$$(Sym) \frac{\varepsilon \Vdash t =_E s}{\varepsilon \Vdash s =_E t}$$

$$(Trans) \frac{\varepsilon \Vdash t =_E s \quad \delta \Vdash s =_E r}{\varepsilon \otimes \delta \Vdash t =_E r}$$

$$(Ord) \frac{\varepsilon \Vdash t =_E s \quad \delta \preceq \varepsilon}{\delta \Vdash t =_E s}$$

$$(Ampl) \frac{\varepsilon_1 \Vdash t_1 =_E s_1 \quad \cdots \quad \varepsilon_n \Vdash t_n =_E s_n \quad f : (\phi_1, \dots, \phi_n) \in \mathcal{F}}{\phi_1(\varepsilon_1) \otimes \cdots \otimes \phi_n(\varepsilon_n) \Vdash f(t_1, \dots, t_n) =_E f(s_1, \dots, s_n)}$$

$$(Subst) \frac{\varepsilon \Vdash t =_E s}{\varepsilon \Vdash t\sigma =_E s\sigma}$$

$$(Join) \frac{\varepsilon_1 \Vdash t =_E s \quad \cdots \quad \varepsilon_n \Vdash t =_E s}{\varepsilon_1 \vee \cdots \vee \varepsilon_n \Vdash t =_E s}$$

Quantitative rewriting and narrowing

- Let R be a quantitative ternary relation.
- View elements of R as quantitative rewrite rules: write $\varepsilon \Vdash t \mapsto_R s$

Definition (Quantitative rewrite relation $\rightarrow_R$)

$\rightarrow_R$ is obtained by closing R under

$$\frac{\varepsilon \Vdash l \mapsto_R r}{\partial_p(s)(\varepsilon) \Vdash s[l\sigma]_p \rightarrow_R s[r\sigma]_p}.$$

Definition (Quantitative narrowing relation $\rightsquigarrow_R$)

$\rightsquigarrow_R$ is obtained by closing R under

$$\frac{\varepsilon \Vdash l \mapsto_R r}{\partial_p(s)(\varepsilon) \Vdash s \rightsquigarrow_R (s[r\rho]_p)\sigma},$$

where ρ is a variable renaming and $\sigma = \text{mgu}_\emptyset(s|_p, l\rho)$.

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$n + S(Z) =^? (n + n) + n$	Substitution
$\rightsquigarrow_0 S(n + Z) =^? (n + n) + n$	$\{x \mapsto n, y \mapsto Z\}$
$\rightsquigarrow_0 \underline{S(n)} =^? (n + n) + n$	$\{x \mapsto n\}$
	$\{x \mapsto n\}$

Example

Find an approximate solution $n \in \mathbb{N}$ for the equation $n + 1 = 3n$.

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$\rightsquigarrow_0 \underline{Z} =^? \underline{Z}$	Id

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$\rightsquigarrow_0 Z =^? Z + Z$	$\{n \mapsto Z\}$
$\rightsquigarrow_0 Z =^? Z$	Id
$\rightsquigarrow_0 \text{TRUE}$	

Computed approximate solution: $\{n \mapsto Z\}$ with degree 1

Example

Find an approximate solution $n \in \mathbb{N}$ for the equation $n + 1 = 3n$.

QTRS: $R = \{0 \Vdash x + Z \mapsto x, 0 \Vdash x + S(y) \mapsto S(x + y), 1 \Vdash S(x) \mapsto x\}$

Alternative derivation:

$$\begin{aligned}
 & \underline{n + S(Z)} =^? (n + n) + n \\
 & \rightsquigarrow_0 S(\underline{n + Z}) =^? (n + n) + n && \text{(by } r_2) \\
 & \rightsquigarrow_0 S(n) =^? \underline{(n + n) + n} && \text{(by } r_1) \\
 & \rightsquigarrow_0 S(S(y)) =^? S(\underline{(S(y) + S(y)) + y}) && \text{(by } r_2) \\
 & \rightsquigarrow_0 S(S(Z)) =^? S(\underline{S(Z) + S(Z)}) && \text{(by } r_1) \\
 & \rightsquigarrow_0 S(S(Z)) =^? S(S(\underline{S(Z) + Z})) && \text{(by } r_2) \\
 & \rightsquigarrow_0 S(S(Z)) =^? S(S(\underline{S(Z)})) && \text{(by } r_1) \\
 & \rightsquigarrow_1 \underline{S(S(Z))} =^? S(S(Z)) && \text{(by } r_3) \\
 & \rightsquigarrow_0 \text{TRUE}
 \end{aligned}$$

Computed approximate solution: $\{n \mapsto S(Z)\}$ with degree 1.

Example

Find an approximate solution $n \in \mathbb{N}$ for the equation $n + 1 = 3n$.

QTRS: $R = \{0 \Vdash x + Z \mapsto x, 0 \Vdash x + S(y) \mapsto S(x + y), 1 \Vdash S(x) \mapsto x\}$

Alternative derivation:

$$\begin{aligned}
 n + S(Z) &=^? (n + n) + n \\
 \rightsquigarrow_0 S(\underline{n + Z}) &=^? (n + n) + n && \text{(by } r_2) \\
 \rightsquigarrow_0 S(n) &=^? \underline{(n + n)} + n && \text{(by } r_1) \\
 \rightsquigarrow_0 S(S(y)) &=^? \underline{S(S(y) + y)} + S(y) && \text{(by } r_2) \\
 \rightsquigarrow_1 S(S(y)) &=^? (S(y) + y) + \underline{S(y)} && \text{(by } r_3) \\
 \rightsquigarrow_1 S(S(y)) &=^? \underline{(S(y) + y)} + y && \text{(by } r_3) \\
 \rightsquigarrow_1 S(S(y)) &=^? \underline{(y + y)} + y && \text{(by } r_3) \\
 \rightsquigarrow_0 S(S(S(y')))) &=^? S(\underline{S(y') + y'}) + S(y') && \text{(by } r_2) \\
 \rightsquigarrow_0 S(S(S(Z))) &=^? \underline{S(S(Z)) + S(Z)} && \text{(by } r_1) \\
 \rightsquigarrow_0 S(S(S(Z))) &=^? S(\underline{S(S(Z)) + Z}) && \text{(by } r_1) \\
 \rightsquigarrow_0 \underline{S(S(S(Z)))} &=^? S(S(S(Z))) && \text{(by } r_1) \\
 \rightsquigarrow_0 &\text{TRUE}
 \end{aligned}$$

Computed approximate solution: $\{n \mapsto S(S(Z))\}$ with degree 3.

Example

We can also use degrees to keep track of the number of narrowing steps used:

QTRS: $R' = \{1 \Vdash x + Z \mapsto x, 1 \Vdash x + S(y) \mapsto S(x + y), 1 \Vdash S(x) \mapsto x\}$

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QTRS: $R' = \{1 \Vdash x + Z \mapsto x, 1 \Vdash x + S(y) \mapsto S(x + y), 1 \Vdash S(x) \mapsto x\}$

Quantitative Narrowing derivation:

$$\begin{aligned}
 & \underline{n + S(Z)} =^? (n + n) + n \\
 & \rightsquigarrow_1 S(\underline{n + Z}) =^? (n + n) + n && (\text{by } r_2) \\
 & \rightsquigarrow_1 S(\underline{n}) =^? (n + n) + n && (\text{by } r_1) \\
 & \rightsquigarrow_1 n =^? \underline{(n + n)} + n && (\text{by } r_3) \\
 & \rightsquigarrow_1 Z =^? \underline{Z + Z} && (\text{by } r_1) \\
 & \rightsquigarrow_1 Z =^? Z && (\text{by } r_1) \\
 & \rightsquigarrow_0 \text{TRUE}
 \end{aligned}$$

Example

We can also use degrees to keep track of the number of narrowing steps used:

QTRS: $R' = \{1 \Vdash x + Z \mapsto x, 1 \Vdash x + S(y) \mapsto S(x + y), 1 \Vdash S(x) \mapsto x\}$

Quantitative Narrowing derivation:

$$\begin{aligned}
 & \underline{n + S(Z)} =^? (n + n) + n \\
 & \rightsquigarrow_1 \underline{S(n + Z)} =^? (n + n) + n && \text{(by } r_2) \\
 & \rightsquigarrow_1 \underline{S(n)} =^? (n + n) + n && \text{(by } r_1) \\
 & \rightsquigarrow_1 n =^? \underline{(n + n)} + n && \text{(by } r_3) \\
 & \rightsquigarrow_1 Z =^? \underline{Z + Z} && \text{(by } r_1) \\
 & \rightsquigarrow_1 Z =^? Z && \text{(by } r_1) \\
 & \rightsquigarrow_0 \text{ TRUE}
 \end{aligned}$$

Computed approximate solution: $\{n \mapsto Z\}$ with degree 5 (i.e. 5 narrowing steps).

Example

To account for the computational cost of finding the redex, one can add modal arities:
Assume that $\text{arity}(S) = (d)$ and $\text{arity}(+) = (d, d)$, where $d: [0, \infty] \rightarrow [0, \infty]$, $x \mapsto 2x$

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Quantitative Narrowing derivation:

$$\begin{aligned}
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 & \rightsquigarrow_2 \underline{S(n)} =^? (n + n) + n && \text{(by } r_1) \\
 & \rightsquigarrow_1 n =^? \underline{(n + n) + n} && \text{(by } r_3) \\
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 & \rightsquigarrow_2 \underline{S(n)} =^? (n + n) + n && \text{(by } r_1) \\
 & \rightsquigarrow_1 n =^? \underline{(n + n)} + n && \text{(by } r_3) \\
 & \rightsquigarrow_2 Z =^? \underline{Z + Z} && \text{(by } r_1) \\
 & \rightsquigarrow_1 Z =^? Z && \text{(by } r_1) \\
 & \rightsquigarrow_0 \text{ TRUE}
 \end{aligned}$$

Computed approximate solution: $\{n \mapsto Z\}$ with degree 7 ($\sim$ computational cost).

Calculus BQNARROW for basic quantitative narrowing

- BQNARROW: Rule-based calculus for quantitative narrowing
- Given a quantitative unification problem (equation to be solved), construct an initial configuration
- Apply rules until a terminal configuration is reached
- Failure or solution can be read off from terminal configuration
- Configurations: **F** (failure) or $\langle e; C; \sigma; \delta \rangle$, where
 - e : equation (or TRUE)
 - C : set of constraints
 - σ : substitution computed so far
 - δ : current degree of approximation
- *Basic narrowing*: Variables of the problem are only instantiated at the end.
 - *No instantiation of variables introduced by narrowing substitutions.*
 - Removes some sources of non-termination.

BQNARROW rules

LP: Lazy Paramodulation

$$\langle e[t]_p; C; \sigma; \delta \rangle \Longrightarrow_{\partial_p(e)(\varepsilon)} \langle e[r]_p; \{l\sigma = t\sigma\} \cup C; \sigma; \delta \otimes \partial_p(e)(\varepsilon) \rangle,$$

where $e \neq \text{TRUE}$, p is a non-variable position of e , and $\varepsilon \Vdash l \mapsto r$ is a fresh variant of a rule in R .

SU: Syntactic Unification

$$\langle e; C; \sigma; \delta \rangle \Longrightarrow_{\kappa} \langle e; \emptyset; \sigma\rho; \delta \rangle,$$

where $C \neq \emptyset$ and ρ is a most general syntactic unifier of C .

Cl: Clash

$$\langle e; C; \sigma; \delta \rangle \Longrightarrow_{\kappa} \mathbf{F}, \quad \text{if } C \text{ is not unifiable.}$$

Con: Constrain

$$\langle e; C; \sigma; \delta \rangle \Longrightarrow_{\kappa} \langle \text{TRUE}; C \cup \{e\sigma\}; \sigma; \delta \rangle, \quad \text{if } e \neq \text{TRUE.}$$

Example

Find an approximate solution $n \in \mathbb{N}$ for the equation $n + 1 = 3n$.

QTRS: $R = \{0 \Vdash x + Z \mapsto x, 0 \Vdash x + S(y) \mapsto S(x + y), 1 \Vdash S(x) \mapsto x\}$

Derivation in BQNARROW:

$$\begin{aligned}
 & \langle n + S(Z) =^? (n + n) + n; \emptyset; Id; 0 \rangle \\
 & \xrightarrow{LP}_0 \langle S(x + y) =^? (n + n) + n; \{x + S(y) = n + S(Z)\}; Id; 0 \rangle \\
 & \xrightarrow{SU}_0 \langle S(x + y) =^? (n + n) + n; \emptyset; \{x, n \mapsto x_1; y \mapsto Z\}; 0 \rangle \\
 & \xrightarrow{LP}_0 \langle S(x_2) =^? (n + n) + n; \{x_2 + Z = x_1 + Z\}; \{x, n \mapsto x_1; y \mapsto Z\}; 0 \rangle \\
 & \xrightarrow{LP}_0 \langle S(x_2) =^? x_3 + n; \{x_2 + Z = x_1 + Z, x_3 + Z = x_1 + x_1\}; \{x, n \mapsto x_1; y \mapsto Z\}; 0 \rangle \\
 & \xrightarrow{SU}_0 \langle S(x_2) =^? x_3 + n; \emptyset; \{x, x_1, x_2, x_3, y, n \mapsto Z\}; 0 \rangle \\
 & \xrightarrow{LP}_1 \langle x_4 =^? x_3 + n; \{x_4 = Z\}; \{x, x_1, x_2, x_3, y, n \mapsto Z\}; 1 \rangle \\
 & \xrightarrow{LP}_0 \langle x_4 =^? x_5; \{x_4 = x_2, x_5 + Z = x_3 + Z\}; \{x, x_1, x_2, x_3, y, n \mapsto Z\}; 1 \rangle \\
 & \xrightarrow{Con}_0 \langle \text{TRUE}; \{x_4 = x_2, x_5 + Z = x_3 + n, x_4 = x_5\}; \{x, x_1, x_2, x_3, y, n \mapsto Z\}; 1 \rangle \\
 & \xrightarrow{SU}_0 \langle \text{TRUE}; \emptyset; \{x, x_1, x_2, x_3, x_4, x_5, y, n \mapsto Z\}; 1 \rangle
 \end{aligned}$$

Computed approximate solution: $\{n \mapsto Z\}$ with degree 1

Results

Theorem (Soundness of BQ_{NARROW})

If $\langle t =^? s; C; \sigma; \delta \rangle \Longrightarrow_{\varepsilon}^+ \langle \text{TRUE}; \emptyset; \sigma'; \delta' \rangle$ is a derivation using the rules from BQ_{NARROW}, then $\varepsilon \Vdash t\sigma' =_R s\sigma'$.

Theorem (Weak completeness of BQ_{NARROW})

Suppose that Ω is a Lawverean quantale whose order $\preceq$ is total. Let $t =^? s$ be a linear problem, and let R be a confluent, right-ground (Ω, Φ) -TRS. If $\varepsilon \Vdash t\tau =_R s\tau$, then BQ_{NARROW} admits a derivation $\langle t =^? s; \emptyset; \text{Id}; \kappa \rangle \Longrightarrow^* \langle \text{TRUE}; \emptyset; \sigma; \delta \rangle$ such that $\delta \preceq \varepsilon$.

Results

Theorem (Soundness of BQ_{NARROW})

If $\langle t =^? s; C; \sigma; \delta \rangle \Longrightarrow_{\varepsilon}^+ \langle \text{TRUE}; \emptyset; \sigma'; \delta' \rangle$ is a derivation using the rules from BQ_{NARROW}, then $\varepsilon \Vdash t\sigma' =_R s\sigma'$.

Theorem (Weak completeness of BQ_{NARROW})

Suppose that Ω is a Lawverean quantale whose order $\preceq$ is total. Let $t =^? s$ be a linear problem, and let R be a confluent, right-ground (Ω, Φ) -TRS. If $\varepsilon \Vdash t\tau =_R s\tau$, then BQ_{NARROW} admits a derivation $\langle t =^? s; \emptyset; \text{Id}; \kappa \rangle \Longrightarrow^* \langle \text{TRUE}; \emptyset; \sigma; \delta \rangle$ such that $\delta \preceq \varepsilon$.

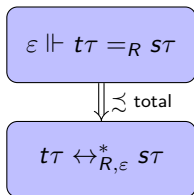
Remark

- Termination cannot be granted!
- Weak completeness: We do not necessarily compute the given τ , but some σ which solves the problem with a degree that is at least as good.
- Substantial improvement over previous results on quantitative unification (Ehling & Kutsia 2024).

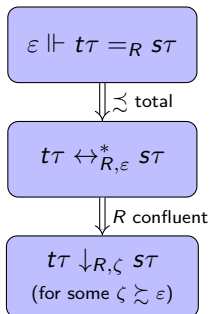
Steps of the completeness proof

$$\varepsilon \Vdash t\tau =_R s\tau$$

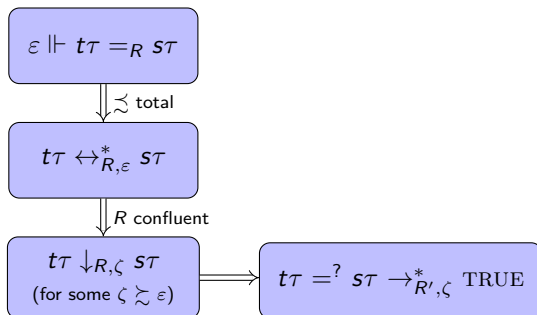
Steps of the completeness proof



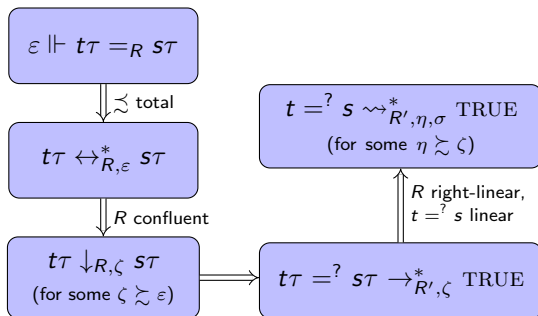
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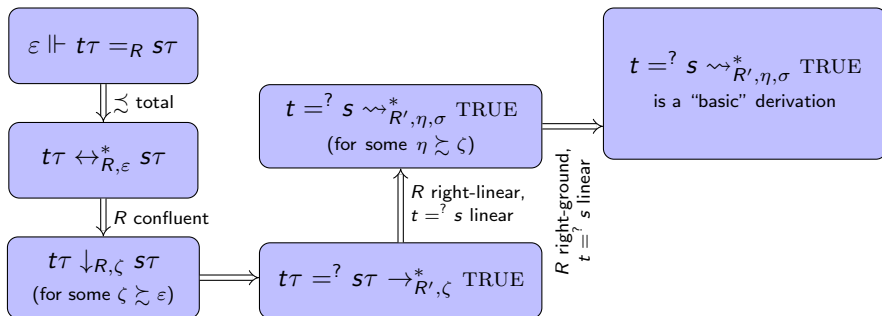
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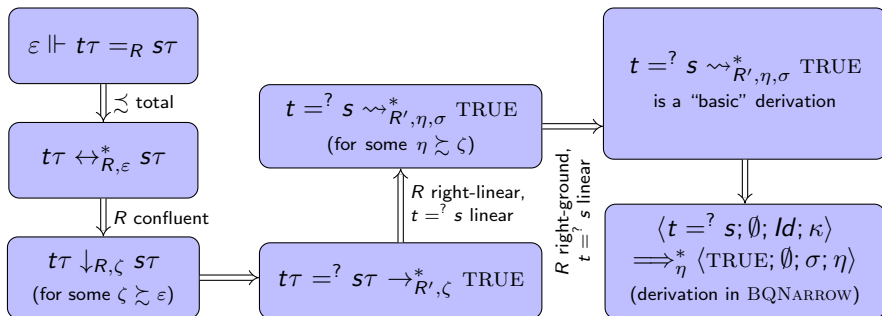
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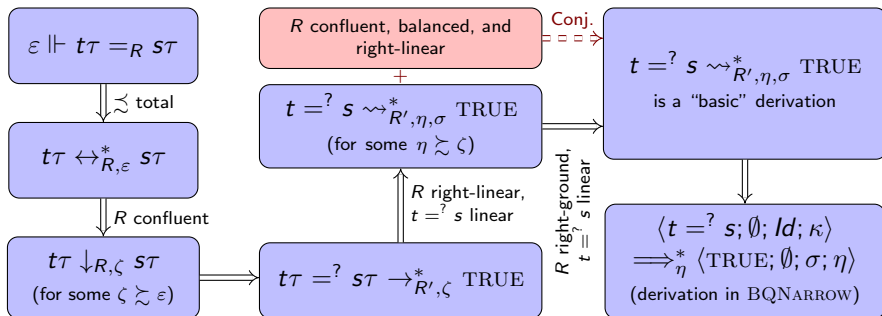
Steps of the completeness proof



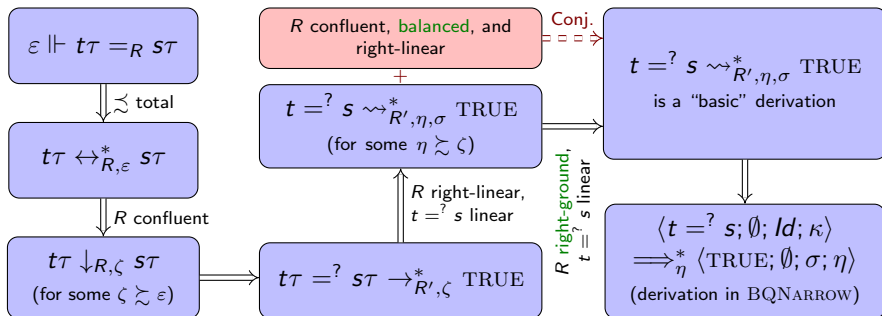
Steps of the completeness proof



Steps of the completeness proof



Steps of the completeness proof



Conclusion and future work

Conclusion

- Quantitative equational theories (Gavazzo & Di Florio 2023) cover various approaches of reasoning with quantitative information.
- Adapted narrowing to the quantitative setting.
- Established a rule-based narrowing calculus for quantitative unification and proved its soundness and (weak) completeness.
- Improved on previous results for quantitative unification.

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Future work

- Under which conditions can we guarantee termination?
- Stronger results might be possible if we restrict to certain types of quantales: totally ordered, idempotent, divisible, ...
- Investigate other classic (equational) problems in the quantitative setting: matching, anti-unification, resolution, ...

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