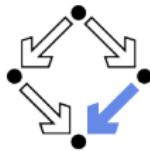
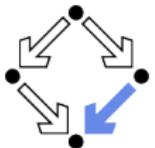


# Verifying Java Programs with KeY

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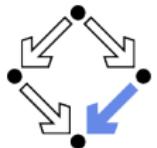


# Verifying Java Programs

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- Extended static checking of Java programs:
  - Even if no error is reported, a program may violate its specification.
    - Unsound calculus for verifying while loops.
  - Even correct programs may trigger error reports:
    - Incomplete calculus for verifying while loops.
    - Incomplete calculus in automatic decision procedure (Simplify).
- Verification of Java programs:
  - Sound verification calculus.
    - Not unfolding of loops, but loop reasoning based on invariants.
    - Loop invariants must be typically provided by user.
  - Automatic generation of verification conditions.
    - From JML-annotated Java program, proof obligations are derived.
  - Human-guided proofs of these conditions (using a proof assistant).
    - Simple conditions automatically proved by automatic procedure.

We will now deal with an integrated environment for this purpose.

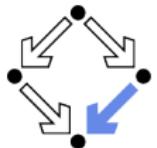


# The KeY Tool

<http://www.key-project.org>

- KeY: environment for verification of JavaCard programs.
  - Subset of Java for smartcard applications and embedded systems.
  - Universities of Karlsruhe, Koblenz, Chalmers, 1998–
    - Beckert et al: “Deductive Software Verification – The KeY Book: From Theory to Practice”, Springer, 2016.
    - “Chapter 16: Formal Verification with KeY: A Tutorial”
- Specification language: JML.
  - Original: OCL (Object Constraint Language), part of UML standard.
- Logical framework: Dynamic Logic (DL).
  - Successor/generalization of Hoare Logic.
  - Integrated prover with interfaces to external decision procedures.
    - Z3, CVC4, CVC5.

Now only JML is supported as a specification language.



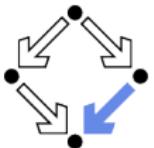
# Dynamic Logic

---

Further development of Hoare Logic to a modal logic.

- **Hoare logic:** two separate kinds of statements.
  - Formulas  $P, Q$  constraining program states.
  - Hoare triples  $\{P\}C\{Q\}$  constraining state transitions.
- **Dynamic logic:** single kind of statement.
  - Predicate logic formulas extended by two kinds of modalities.
  - $[C]Q$  ( $\Leftrightarrow \neg(C) \neg Q$ )
    - Every state that can be reached by the execution of  $C$  satisfies  $Q$ .
    - The statement is trivially true, if  $C$  does not terminate.
  - $\langle C \rangle Q$  ( $\Leftrightarrow \neg [C] \neg Q$ )
    - There exists some state that can be reached by the execution of  $C$  and that satisfies  $Q$ .
    - The statement is only true, if  $C$  terminates.

States and state transitions can be described by DL formulas.



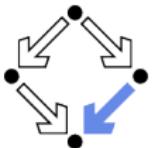
# Dynamic Logic versus Hoare Logic

---

Hoare triple  $\{P\}C\{Q\}$  can be expressed as a DL formula.

- **Partial correctness interpretation:**  $P \Rightarrow [C]Q$ 
  - If  $P$  holds in the current state and the execution of  $C$  reaches another state, then  $Q$  holds in that state.
  - Equivalent to the partial correctness interpretation of  $\{P\}C\{Q\}$ .
- **Total correctness interpretation:**  $P \Rightarrow \langle C \rangle Q$ 
  - If  $P$  holds in the current state, then there exists another state that can be reached by the execution of  $C$  in which  $Q$  holds.
  - If  $C$  is deterministic, there exists at most one such state; then equivalent to the total correctness interpretation of  $\{P\}C\{Q\}$ .

For deterministic programs, the interpretations coincide.



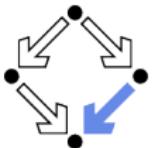
# Advantages of Dynamic Logic

---

Modal formulas can also occur in the context of quantifiers.

- **Hoare Logic:**  $\{x = a\} \text{ y:=x*x } \{x = a \wedge y = a^2\}$ 
  - Use of free mathematical variable  $a$  to denote the “old” value of  $x$ .
- **Dynamic logic:**  $\forall a : x = a \Rightarrow [y:=x*x] x = a \wedge y = a^2$ 
  - Quantifiers can be used to restrict the scopes of mathematical variables across state transitions.

Set of DL formulas is closed under the usual logical operations.



# A Calculus for Dynamic Logic

---

- A core language of commands (non-deterministic):

$X := T$  ... assignment

$C_1; C_2$  ... sequential composition

$C_1 \cup C_2$  ... non-deterministic choice

$C^*$  ... iteration (zero or more times)

$F?$  ... test (blocks if  $F$  is false)

- A high-level language of commands (deterministic):

**skip** = true?

**abort** = false?

$X := T$

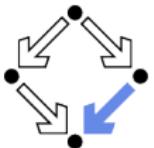
$C_1; C_2$

**if**  $F$  **then**  $C_1$  **else**  $C_2$  =  $(F?; C_1) \cup ((\neg F)?; C_2)$

**if**  $F$  **then**  $C$  =  $(F?; C) \cup (\neg F)?$

**while**  $F$  **do**  $C$  =  $(F?; C)^*; (\neg F)?$

A calculus is defined for dynamic logic with the core command language.



# A Calculus for Dynamic Logic

---

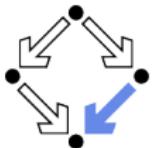
## ■ Basic rules:

- Rules for predicate logic extended by general rules for modalities.

## ■ Command-related rules:

- $$\frac{\Gamma \vdash F[T/X]}{\Gamma \vdash [X := T]F}$$
- $$\frac{\Gamma \vdash [C_1][C_2]F}{\Gamma \vdash [C_1; C_2]F}$$
- $$\frac{\Gamma \vdash [C_1]F \quad \Gamma \vdash [C_2]F}{\Gamma \vdash [C_1 \cup C_2]F}$$
- $$\frac{\Gamma \vdash F \Rightarrow [C]F}{\Gamma \vdash F \Rightarrow [C^*]F}$$
- $$\frac{\Gamma \vdash F \Rightarrow G}{\Gamma \vdash [F?]G}$$

From these, Hoare-like rules for the high-level language can be derived.



# Objects and Updates

---

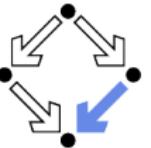
Calculus has to deal with the pointer semantics of Java objects.

- **Aliasing:** two variables  $o, o'$  may refer to the same object.
  - Field assignment  $o.a := T$  may also affect the value of  $o'.a$ .
- **Update formulas:**  $\{o.a \leftarrow T\}F$ 
  - Truth value of  $F$  in state after the assignment  $o.a := T$ .
- **Field assignment rule:**

$$\frac{\Gamma \vdash \{o.a \leftarrow T\}F}{\Gamma \vdash [o.a := T]F}$$

- **Field access rule:**
- $$\frac{\Gamma, o = o' \vdash F(T) \quad \Gamma, o \neq o' \vdash F(o'.a)}{\Gamma \vdash \{o.a \leftarrow T\}F(o'.a)}$$
- Case distinction depending on whether  $o$  and  $o'$  refer to same object.
- Only applied as last resort (after all other rules of the calculus).

Considerable complication of verifications.



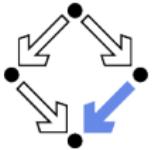
# The KeY Prover

> KeY &

The screenshot shows the KeY 2.12.0 interface. The main window displays a Java class `SumAndMax.java` with its source code:

```
1 class SumAndMax {  
2     int sum;  
3     int max;  
4  
4     /* normal behaviour  
5      * requires (!forall int i; 0 <= i && i < a.length;  
6      * assignable sum, max;  
7      * ensures (!forall int i; 0 <= i && i < a.length;  
8      * ensures (a.length > 0  
9      *       ==> (exists int i; 0 <= i && i < a.length  
10     ensures sum == (sum int i; 0 <= i && i < a.length  
11     ensures sum == a.length * max;  
12     ensures sum == a.length * max;  
13    */  
14    void sumAndMax(int[] a) {  
15        sum = 0;  
16        max = 0;  
17        int k = 0;  
18  
19        /* loop invariant  
20         * 0 <= k && k <= a.length  
21         * && (!forall int i; 0 <= i && i < k; a[i] <= n  
22         * && (k > 0 ==> max == 0)  
23         * && (k > 0 ==> (exists int i; 0 <= i && i < k  
24         * && sum == (sum int i; 0 <= i && i < k; a[i])  
25         * && sum <= k * max;  
26         *  
27         * assignable sum, max;  
28         * decreases a.length - k;  
29    */  
30    while(k < a.length) {  
31        if(max < a[k]) {  
32            max = a[k];  
33        }  
34        sum += a[k];  
35        k++;  
36    }  
37 }  
38  
39 }
```

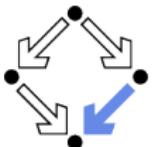
The interface includes a central sequent editor showing a proof obligation: `wellFormed(heap)`. The left sidebar shows the proof tree, which is currently collapsed. The bottom status bar indicates the strategy applied 2730 rules in 3.2 seconds, closed 50 goals, and 0 remaining.



# A Simple Example

## File/Load Example/Getting Started/Sum and Max

```
class SumAndMax {                                     /*@ loop_invariant
    int sum; int max;                           @ 0 <= k && k <= a.length
    /*@ requires (\forall int i;                  @ && (\forall int i;
        @ 0 <= i && i < a.length; 0 <= a[i]); @ 0 <= i && i < k; a[i] <= max)
        @ assignable sum, max;                   @ && (k == 0 ==> max == 0)
        @ ensures (\forall int i;                @ && (k > 0 ==> (\exists int i;
            @ 0 <= i && i < a.length; a[i] <= max); @ 0 <= i && i < k; max == a[i]))
            @ ensures (a.length > 0 ==>           @ && sum == (\sum int i;
                @ (\exists int i;                  @ 0 <= i && i < k; a[i])
                    @ 0 <= i && i < a.length;          @ && sum <= k * max;
                    @ max == a[i]));                 @ assignable sum, max;
            @ ensures sum == (\sum int i;         @ decreases a.length - k;
                @ 0 <= i && i < a.length; a[i]);      @*/
            @ ensures sum <= a.length * max;       /*@
        @*/
void sumAndMax(int[] a) {
    sum = 0;                                         while (k < a.length) {
    max = 0;                                         if (max < a[k]) max = a[k];
    int k = 0;                                         sum += a[k];
                                                k++;
} } }
```



# A Simple Example (Contd)

Proof Management

By Target By Proof

Contract Targets

- SumAndMax
  - sumAndMax(int[])

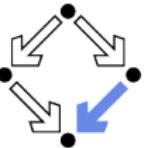
Contracts

JML normal\_behavior operation contract 0

```
self.sumAndMax(a) catch(exc)
  pre \forall int i; (0 ≤ i ∧ i < a.length ∧ iInt(i)) → 0 ≤ a[i] ∧ (self.<inv> ∧ ~a = null)
  post \forall int i; (0 ≤ i ∧ i < a.length ∧ iInt(i)) → a[i] ≤ self.max ∧ (i ≤ a.length > 0 → \exists int i; (0 ≤ i ∧ i < a.length ∧ iInt(i)) ∧ self.sum = a[i]) ∧ (self.sum = bsum(int i))
  mod ({self, SumAndMax::$sum}) ∪ ({self, SumAndMax::$max})
  termination diamond
```

Start Proof Cancel

Generate the proof obligations and choose one for verification.



# A Simple Example (Contd'2)

KeY 2.12.0

File View Proof Options Origin Tracking Proof Management

Run CVS

Loaded Proofs

Proofs

src/B/S.java:99:26 PM

`SumsAndMax(SumAndMax sumAndMax) {`

Proof Tree

OPEN GOAL

Source

```

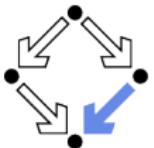
1 class SumsAndMax {
2
3     int sum;
4     int max;
5
6     /* normal behaviour
7      * requires (\forall int i; 0 <= i & i < a.length;
8      * assignable sum, max;
9      * ensures (\forall int i; 0 <= i & i < a.length;
10     *          \exists int j; 0 <= j & j < a.length;
11     *          a[i] == a[j];
12     *          sum == (sum int i; 0 <= i & i < a.length;
13     *                  a[i]);
14     *          max == sum * max);
15
16     void sumAndMax(int[] a) {
17         sum = 0;
18         max = 0;
19         int k = 0;
20
21         /* loop invariant
22          * 0 <= k && k < a.length
23          * \forall int i; 0 <= i & i < k; a[i] <= n
24          * \& (k == 0 ==> max == 0)
25          * \& (k > 0 ==> (\exists int i; 0 <= i & i < k;
26          *                  a[i] == max));
27          * \& sum == (\sum int i; 0 <= i & i < k; a[i]);
28          * \& sum <= k * max;
29          *
30          * assignable sum;
31          * decreases a.length - k;
32          */
33         while(k < a.length) {
34             if(max < a[k]) {
35                 max = a[k];
36             }
37             sum += a[k];
38             k++;
39         }
40     }
41 }
```

Show PostconditionAssignable

Java (0) Proof Caching

The screenshot shows the KeY 2.12.0 proof assistant interface. The left pane displays a proof tree with an open goal. A specific proof step is highlighted, showing a sequence of operations on a heap. The middle pane shows the current state of the heap with annotations for variables like 'sum' and 'max'. The right pane contains the source code for the `SumsAndMax` class, which implements the `sumAndMax` method. The code includes annotations for preconditions, postconditions, and loop invariants.

The proof obligation in Dynamic Logic.



# Proof Obligation

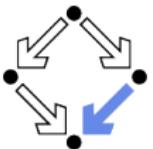
---

Two lists of formulas separated by a horizontal line.

$$\frac{\begin{array}{c} A_1 \\ \dots \\ A_n \end{array}}{B_1 \quad \dots \quad B_m}$$

- **Interpretation:**  $(A_1 \wedge \dots \wedge A_n) \Rightarrow (B_1 \vee \dots \vee B_m)$ .
  - Proof is completed if some  $A_i$  is false or some  $B_j$  is true.
- **All formulas are *unnegated*:**
  - $(A_1 \wedge \neg A_2) \Rightarrow (B_1 \vee B_2) \rightsquigarrow A_1 \Rightarrow (B_1 \vee B_2 \vee A_2)$
  - $(A_1 \wedge A_2) \Rightarrow (B_1 \vee \neg B_2) \rightsquigarrow (A_1 \wedge A_2 \wedge B_2) \Rightarrow B_1$

A formula below the line may represent a “negated assumption”; a formula above the line may represent a “negated goal”:



# A Simple Example (Contd'3)

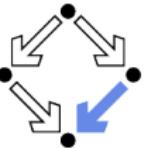
```

==>
    wellFormed(heap)
    & ...
    & (( \forall int i;
        ((0 <= i & i < a.length) & inInt(i) -> 0 <= a[i])
        & ((self_25.<inv> & (!a = null)))))

-> {heapAtPre_0:=heap || _a:=a}
    \<{
        exc_25=null;try {
            self_25.sumAndMax(_a)@SumAndMax;
        } catch (java.lang.Throwable e) { exc_25=e; }
    }\> ( (\forall int i;
        ( (0 <= i & i < a.length) & inInt(i) -> a[i] <= self_25.max)
        & (( ( a.length > 0
            -> \exists int i;
                (( (0 <= i & i < a.length) & inInt(i) & self_25.max = a[i])))
            & (( self_25.sum = javaCastInt(bsum{int i;}(0, a.length, a[i]))
                & (( self_25.sum <= javaMulInt(a.length, self_25.max)
                    & self_25.<inv>)))))))
        & (exc_25 = null)
        & \forall Field f;
            \forall java.lang.Object o;
            ( (o, f) \in { (self_25, SumAndMax::$sum)}
                \cup { (self_25, SumAndMax::$max)}
            | !o = null
            & !o.<created>@heapAtPre_0 = TRUE
            | o.f = o.f@heapAtPre_0))

```

Press button "Start/stop automated proof search" (green arrow).



# A Simple Example (Contd'4)

KeY 2.12.0

File View Proof Options Origin Tracking Proof Management

Run CVC5

Loaded Proofs

Proofs

Erc with model src/B5/4436.PM

✓ SumAndMaxContractBehaviorTest.java[10].ML normal\_behavior open

Goals Proof Slicing Proof Search Strategy

Proof Tree Invariant Initially Valid Body Preserves Invariant Use Case

Segment Inner Node

wellFormed

self != null

self < created

SumAndMax

(i = null)

measuredBy

i <= int

((self <= int) & (self >= int))

exc = null

try { self.sum += exc } catch (Exception e) { exc = e }

if (exc == null) { Field f; java.lang.Object o; ((o, f) = (self, SumAndMax::\$sum)) U ((self, SumAndMax::\$max) = o); } else { Field f; java.lang.Object o; ((o, f) = (self, SumAndMax::\$sum)) U ((self, SumAndMax::\$max) = o); }

Proof Statistics

Proved.

Nodes 2,780

Branches 50

Interactive steps 0

Symbolic execution steps 219

Automode time 3032ms

Avg. time per step 1.091ms

Rule applications

Quantifier instantiations 12

One-step Simplifier apps 360

SMT solver apps 0

Dependency Contract apps 0

Operation Contract apps 0

Block/Loop Contract apps 0

Loop invariant apps 1

Merge Rule apps 0

Total rule apps 4,842

Save proof Export as CSV Export as HTML

Save proof bundle

Source

SumAndMax.java

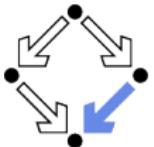
```
1  package risc;
2
3  /**
4   * @normalBehaviour
5   * requires (\forall all int i; 0 <= i & i < a.length);
6   * assignable sum, max;
7   * ensures (\forall all int i; 0 <= i & i < a.length);
8   * ensures (a.length >= 0);
9   * ensures sum == (\sum all int i; 0 <= i & i < a.length);
10  * ensures sum <= a.length * max;
11  */
12  void sumAndMax(int[] a) {
13      sum = 0;
14      max = 0;
15      int k = 0;
16
17      /* loop_invariant
18       * 0 <= k && k < a.length
19       * && (\forall all int i; 0 <= i & i < k; a[i] <= max)
20       * && k == 0 ==> max == 0
21       * && k > 0 ==> (\exists exists int i; 0 <= i & i < k; a[i] <= max)
22       * && sum == (\sum all int i; 0 <= i & i < k; a[i])
23       * && sum <= k * max;
24
25       * assignable sum, max;
26       * decreases a.length - k;
27       */
28
29      while(k < a.length) {
30          if(max < a[k]) {
31              max = a[k];
32          }
33          sum += a[k];
34          k++;
35      }
36  }
37
38  /*
39  */
40 }
```

Show PostconditionAssignable

KeY Strategy: Applied 2730 rules (3.0 sec), closed 50 goals, 0 remaining

JavaC (0) Proof Caching

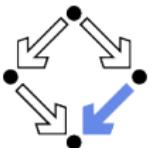
The proof runs through automatically.



# Linear Search

```
/*@ requires a != null;
 @ assignable \nothing;
 @ ensures
 @   (\result == -1 &&
 @     (\forall int j; 0 <= j && j < a.length; a[j] != x)) ||
 @   (0 <= \result && \result < a.length && a[\result] == x &&
 @     (\forall int j; 0 <= j && j < \result; a[j] != x));
 @*/
public static int search(int[] a, int x) {
    int n = a.length; int i = 0; int r = -1;
    /*@ loop_invariant
     @   a != null && n == a.length && 0 <= i && i <= n &&
     @   (\forall int j; 0 <= j && j < i; a[j] != x) &&
     @   (r == -1 || (r == i && i < n && a[r] == x));
     @ decreases r == -1 ? n-i : 0;
     @ assignable r, i; // required by KeY, not legal JML
     @*/
    while (r == -1 && i < n) {
        if (a[i] == x) r = i; else i = i+1;
    }
    return r;
}
```

## Linear Search (Contd)

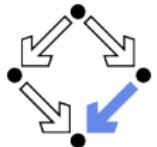


The screenshot shows the KeY 2.12.0 interface with several open windows:

- Proofs**: Shows a tree of proofs. One node is expanded, showing a proof strategy: "Normal Execution \_a!=null". Sub-nodes include "Invariant Initially Valid", "Body Preserves Invariant", "Use Case", and "Null Reference (\_a == null)".
- Sequent**: Displays a sequent labeled "Dinner Node". The left side shows formulas involving  $\exists$ ,  $\forall$ , and  $\wedge$ . The right side shows formulas involving  $\vdash$ ,  $\rightarrow$ , and  $\neg$ .
- Proof Statistics**: A modal dialog box showing performance metrics:
  - Proved:** 843 nodes, 15 branches, 0 interactive steps, 110 symbolic execution steps.
  - Rule applications:** Quantifier instantiations 1, One-step Simplifier apps 129, SMT solver apps 0, Dependency Contract apps 0, Operation Contract apps 0, Block/Loop Contract apps 0, Loop invariant apps 1, Merge Rule apps 0, Total rule apps 2,062.
- Source**: A code editor window showing the Java file `Main.java` with the following code:

```
1 package linsearch;
2
3 public class Main{
4 {
5     /*@ requires a != null;
6      * @ assignable x;
7      * @ ensures
8      * @ (forall int i; 0 <= i && i < a.length; a[i] == x);
9      * @ (forall int j; 0 <= j && j < a.length && a[j] == x);
10     */
11     public static int search(int[] a, int x)
12     {
13         int n = a.length;
14         int i = 0;
15         int r = -1;
16         /*@ loop_invariant
17          * @ a[i] == null && n == a.length &&
18          * @ 0 <= i && i < n &&
19          * @ (forall int j; 0 <= j && j < i; a[j] != x) &&
20          * @ (r == -1 || (r == i && i < n && a[i] == x));
21          * @ decreases r == -1 ? n-1 : r;
22          * @ assignable r,i;
23     }
24     while (r == -1 && i < n)
25     {
26         if (a[i] == x)
27             r = i;
28         else
29             i = i+1;
30     }
31     return r;
32 }
33 }
34 }
```
- Goals**: Shows proof slicing and search strategy.
- Proof**: Shows proof tree and use cases.

Also this verification is completed automatically.

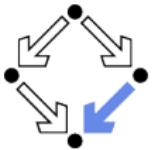


# Proof Structure



- Multiple conditions (Taclet option “`javaLoopTreatment::teaching`”):
  - Invariant Initially Valid.
  - Body Preserves Invariant.
  - Use Case (on loop exit, invariant implies postcondition).
- If proof fails, elaborate which part causes trouble and potentially correct program, specification, loop annotations.

For a successful proof, in general multiple iterations of automatic proof search (button “Start”) and invocation of separate SMT solvers required (button “Run CVC5”).



# Summary

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- Various academic approaches to verifying Java(Card) programs.
  - Jack: <http://www-sop.inria.fr/everest/soft/Jack/jack.html>
  - VeriFast: <https://github.com/verifast/>
  - Various tools for byte code verification.
- Do not yet scale to verification of full Java applications.
  - General language/program model is too complex.
  - Simplifying assumptions about program may be made.
  - Possibly only special properties may be verified.
- Nevertheless very helpful for reasoning on Java in the small.
  - Much beyond Hoare calculus on programs in toy languages.
  - Probably all examples in this course can be solved automatically by the use of the KeY prover and its integrated SMT solvers.
- Enforce clearer understanding of language features.
  - Perhaps constructs with complex reasoning are not a good idea...

In a not too distant future, customers might demand that some critical code is shipped with formal certificates (correctness proofs)...