

THE RISCTP SOFTWARE

Combining Multiple Proving Strategies



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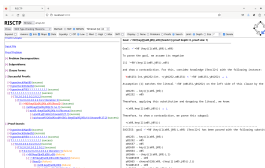
RISCAL & RISCTP

- **RISCAL Language and Software**

- Variant of FOL over finite domains of some size N .
- Rich variety of mathematical constructions and types.
- Fixed size $N := c$: model checking.
- Arbitrary size $N \in \mathbb{N}$: theorem proving.

- **RISCTP Theorem Proving Interface**

- Language with abstraction level lower than RISCAL.
- FOL with equality, integers, maps (arrays, sets), algebraic data types (tuples).
- Interface to SMT-LIB based theorem provers (cvc5, Vampire, Z3).
- MESON prover for FOL with support for above theories.
 - Construction and visualization of human-understandable **proofs**.



<https://www.risc.jku.at/research/formal/software/RISCAL>

<https://www.risc.jku.at/research/formal/software/RISCTP>

The RISCTP Language

```
// problem file "arrays.txt"
const N:Nat; axiom posN  $\Leftrightarrow$  N > 0;
type Index = Nat with value < N;
type Value; type Elem = Tuple[Int,Value]; type Array = Map[Index,Elem];
fun key(e:Elem):Int = e.1;
pred sorted(a:Array,from:Index,to:Index)  $\Leftrightarrow$ 
   $\forall i:Index, j:Index. \text{from} \leq i \wedge i < j \wedge j \leq \text{to} \Rightarrow \text{key}(a[i]) \leq \text{key}(a[j]);$ 
theorem T  $\Leftrightarrow$ 
   $\forall a:Array, \text{from}:Index, \text{to}:Index, x:Int.$ 
     $\text{from} \leq \text{to} \wedge \text{sorted}(a, \text{from}, \text{to}) \Rightarrow$ 
    let i = choose i:Index with  $\text{from} \leq i \wedge i \leq \text{to}$  in
     $\text{key}(a[i]) < x \Rightarrow \neg \exists j:Index. \text{from} \leq j \wedge j < i \wedge \text{key}(a[j]) = x;$ 
```

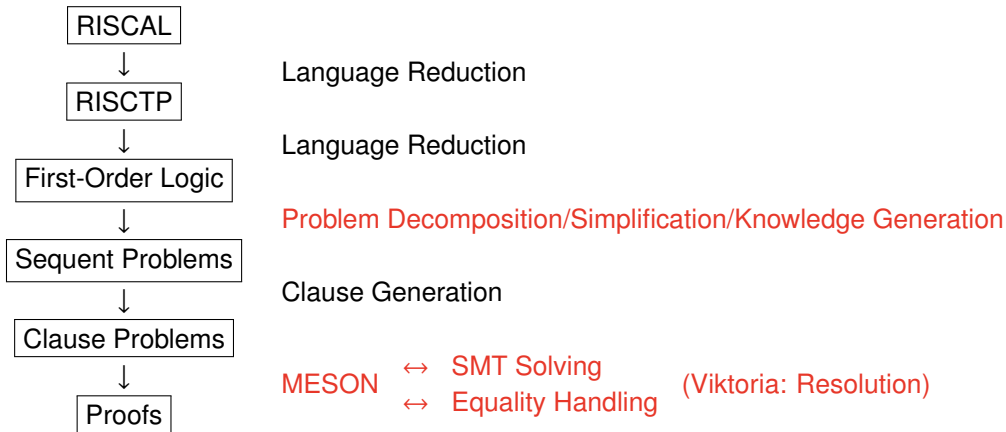
Baseline Goal

Automatically generate “reasonably understandable” proofs for the verification conditions generated by RISCAL for programs that operate on arrays.

- Minimum/maximum element and position.
- Summation.
- Linear and binary search.
- Sorting.
- ...

Typical problems presented in my “Formal Methods” course; now handled in RISCAL by checking finite models via state space enumeration or SMT solving and in RISCTP by applying external SMT-based provers as “black boxes”.

The Processing Pipeline



This presentation focuses on the relationship of MESON, SMT solving, equality handling, and problem decomposition/simplification/knowledge generation.

The Core: A MESON Prover

MESON: Model Elimination, Subgoal-Oriented.

- **Judgment** $R_s \vdash_{\sigma}^{L_s} G$: $(\bigwedge (R_s \cup L_s) \Rightarrow G)\sigma$ is valid.
 - Set R_s of “rules” $(\forall x \dots)(A_1 \wedge \dots \wedge A_a \Rightarrow B_1 \vee \dots \vee B_b)$ [= $(\forall x \dots)(L_1 \vee \dots \vee L_{a+b})$].
 - Atoms A_i, B_i , positive or negative atoms (literals) L_i .
 - “Goal” $G = (\exists x \dots)(G_1 \wedge \dots \wedge G_g)$ with literals G_i .
 - Set L_s of literals, variable substitution σ .

$$\frac{}{R_s \vdash_{\sigma}^{L_s} \top} \text{ (AX)} \qquad \frac{L \in L_s \quad G_1 \sigma \text{ and } L \sigma \text{ have mgu } \sigma_1 \quad R_s \vdash_{\sigma \sigma_1}^{L_s} (G_2 \wedge \dots \wedge G_g)}{R_s \vdash_{\sigma}^{L_s} (G_1 \wedge G_2 \wedge \dots \wedge G_{g \geq 1})} \text{ (ASS)}$$

$R := (L_1 \vee \dots \vee L_i \vee \dots \vee L_{a+b}) \in F$ $L_i \sigma \sigma_0$ and $G_1 \sigma$ have mgu σ_1

σ_0 is a bijective renaming of the variables in $R \sigma$ such that $R \sigma \sigma_0$ and $G \sigma$ have no common variables

$$\frac{R_s \vdash_{\sigma \sigma_0 \sigma_1}^{L_s \cup \{\overline{G_1}\}} (\overline{L_1} \wedge \dots \wedge \overline{L_{i-1}} \wedge \overline{L_{i+1}} \wedge \dots \wedge \overline{L_{a+b}}) \quad R_s \vdash_{\sigma \sigma_0 \sigma_1}^{L_s} (G_2 \wedge \dots \wedge G_g)}{R_s \vdash_{\sigma}^{L_s} G := (G_1 \wedge G_2 \wedge \dots \wedge G_{g \geq 1})} \text{ (MESON)}$$

A generalization of Prolog-like “backward chaining” to full first-order logic.

Proof Search

An implementation of the calculus (implicitly) constructs a proof tree (below the special case of Prolog-like Horn clauses is depicted):

$$\begin{array}{c}
 \frac{\frac{\top}{B_1} \quad (\top \Rightarrow B_1) \quad \frac{\top}{A_1}}{G_1} \quad \frac{\frac{\top}{B_2} \quad (\top \Rightarrow B_2) \quad \frac{\top}{A_2}}{(A_1 \wedge A_2 \Rightarrow G_1)} \quad \frac{\frac{\top}{D_1} \quad (\top \Rightarrow D_1) \quad \frac{\top}{C_1}}{G_2} \quad \frac{\frac{\top}{D_2} \quad (\top \Rightarrow D_2) \quad \frac{\top}{C_2}}{(C_1 \wedge C_2 \Rightarrow G_1)} \quad \frac{\frac{\top}{F_1} \quad (\top \Rightarrow F_1) \quad \frac{\top}{E_1}}{G_3} \quad \frac{\frac{\top}{F_2} \quad (\top \Rightarrow F_2) \quad \frac{\top}{E_2}}{(E_1 \wedge E_2 \Rightarrow G_1)} \\
 \hline
 G_1 \wedge G_2 \wedge G_3
 \end{array}$$

- **Solving substitution σ** : determined during the construction of the tree.
 - Starting with $\sigma = \emptyset$, rule (MESON) chooses for every node some rule and extends σ .
- **Completeness** of the proof search.
 - All possible rule choices have to be considered; this requires a suitable organization of the construction process.
 - All clauses arising from the theorem to be proved have to be attempted (but not the clauses arising from theory axioms provided that they are satisfiable).

An intuitively understandable strategy.

A Note on Proofs by Cases

$$Rs := \{p \vee q, p \Rightarrow r, q \Rightarrow r\} \quad G := r$$

- Natural style reasoning: we have $p \vee q$.
 - In case of p , $(p \Rightarrow r)$ implies r .
 - In case of q , $(q \Rightarrow r)$ implies r .
- MESON pursues goal sequence $r \rightarrow p \rightarrow \neg q \rightarrow \neg r$.

$$\frac{\frac{\frac{\frac{Rs \vdash \{\neg r, \neg p, q\} \quad \neg r}{(q \Rightarrow r)}}{Rs \vdash \{\neg r, \neg p\} \quad \neg q}{(p \vee q)}}{Rs \vdash \{\neg r\} \quad p}{Rs \vdash^\emptyset r} \quad (p \Rightarrow r)}{(ASS)}$$

- The case condition $(p \vee q)$ “inverts” the proof direction.

MESON cannot apply “case distinction” (the sequent calculus “cut rule”) to split proof situations (a “deficiency” mitigated a bit by some measures shown later).

Theories: SMT Solving

Especially consider theory symbols, i.e., symbols with “fixed” interpretation.

$$\frac{(\bigwedge(Rs) \wedge \bigwedge(Ls)\sigma \wedge \neg G_1\sigma) \text{ is unsatisfiable} \quad Rs \vdash_{\sigma}^{Ls} (G_2 \wedge \dots \wedge G_g)}{Rs \vdash_{\sigma}^{Ls} G := (G_1 \wedge G_2 \wedge \dots \wedge G_g)} \quad (\text{SMT})$$

- $(\bigwedge(Rs) \wedge \bigwedge(Ls)\sigma \wedge \neg G_1\sigma)$ is unsatisfiable:
 - Consider only unquantified (variable-free) clauses from Rs .
 - Replace variables in $\bigwedge(Ls)\sigma \wedge \neg G_1\sigma$ by constants.
 - Result is a quantifier-free closed formula.
- RISCTP option “SMT”:
 - Apply an external SMT solver (cvc5, Z3).
 - Unrestricted application slows down proof search substantially.
 - However, when applied up to depth 2 only, many proofs are sped up.

Still an explicit axiomatization of theories is needed to expose proof situations where a goal (G_1) follows from facts (Rs) and collected assumptions (Ls).

Axiomatization of Theories

- **Maps/Arrays**

$$\forall a_1, a_2. (\forall i. a_1[i] = a_2[i]) \Rightarrow a_1 = a_2$$

$$\forall a, i, e. a[i \mapsto e][i] = e$$

$$\forall a, i, j, e. i \neq j \Rightarrow a[i \mapsto e][j] = a[j]$$

- **Tuples**

$$\forall x_1, x_2, y_1, y_2. \langle x_1, x_2 \rangle = \langle y_1, y_2 \rangle \rightarrow x_1 = x_2 \wedge y_1 = y_2$$

$$\forall x_1, x_2. \langle x_1, x_2 \rangle.1 = x_1$$

$$\forall x_1, x_2. \langle x_1, x_2 \rangle.2 = x_2$$

$$\forall t, x_1. (t \text{ with } .1 = x_1).1 = x_1$$

$$\forall t, x_2. (t \text{ with } .2 = x_2).2 = x_2$$

- **Algebraic Data Types**

- Axiomatization of constructor, selector, tester operations...

- **Integers**

- A (necessarily incomplete) axiomatization of the integer operations...

Axiomatization of Integers

axiom '0+1' $\Leftrightarrow 0+1 = 1$;
axiom '1+0' $\Leftrightarrow 1+0 = 1$;
axiom '+-1' $\Leftrightarrow \forall x:\text{Int}. (x+1)-1 = x$;
axiom '-+1' $\Leftrightarrow \forall x:\text{Int}. (x-1)+1 = x$;
axiom 'comm+' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x+y = y+x$;
axiom 'assoc+' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x+(y+z) = (x+y)+z$;
axiom 'neut+' $\Leftrightarrow \forall x:\text{Int}. x+0 = x$;
axiom 'inv+' $\Leftrightarrow \forall x:\text{Int}. x-x = 0$;
axiom 'def-' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x-y = x+(-y)$;
axiom 'inv-' $\Leftrightarrow \forall x:\text{Int}. -(-x) = x$;
axiom 'distrib-' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. -(x+y) = (-x)+(-y)$;

axiom 'div2a' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. \text{let } z = (x+y)/2 \text{ in } x \leq y \Rightarrow$
 $(\text{'='}(x,y) \{*\} \wedge z = x \wedge z = y) \vee (x < y \wedge x \leq z \wedge z < y)$;
axiom 'div2b' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. \text{let } z = (x+y)/2 \text{ in}$
 $x \leq y \Rightarrow x \leq z \wedge z \leq y$;

axiom 'preserve<+1' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x < y \Rightarrow x+z < y+z$;
axiom 'preserve<+2' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x < y \Rightarrow z+x < z+y$;
axiom 'preserve≤+1' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x \leq y \Rightarrow x+z \leq y+z$;
axiom 'preserve≤+2' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x \leq y \Rightarrow z+x \leq z+y$;
axiom 'preserve<- ' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x < y \Rightarrow z-y < z-x$;
axiom 'preserve≤-' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x \leq y \Rightarrow z-y \leq z-x$;
axiom 'add<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. 0 < y \Rightarrow x < x+y$;
axiom 'add≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. 0 \leq y \Rightarrow x \leq x+y$;

axiom 'trans<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x < y \wedge y < z \Rightarrow x < z$;
axiom 'trans≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x \leq y \wedge y \leq z \Rightarrow x \leq z$;
axiom 'trans1≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x \leq y \wedge y < z \Rightarrow x < z$;
axiom 'trans2≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int},z:\text{Int}. x < y \wedge y \leq z \Rightarrow x < z$;
axiom 'trich' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x < y \vee y < x \vee \text{'='}(x,y) \{*\}$;
axiom 'part1' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x \leq y \vee y < x$;
axiom 'part2' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. \neg(x \leq y \wedge y < x)$;
axiom 'def1≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x < y \vee x = y \Rightarrow x \leq y$;
axiom 'def2≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x \leq y \Rightarrow x < y \vee \text{'='}(x,y) \{*\}$;
axiom 'excl<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. \neg(x < y \wedge x = y)$;
axiom 'excl2<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. \neg(y < x \wedge x = y)$;
axiom '+-1<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. \text{'<'}(x,y)\{*\} \Rightarrow \neg(y < x+1) \wedge \neg(y-1 < x)$;
axiom '+1≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x < y \Leftrightarrow x+1 \leq y$;
axiom '+1<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x \leq y \Leftrightarrow x < y+1$;
axiom '-1≤' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x < y \Leftrightarrow x \leq y-1$;
axiom '-1<' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x \leq y \Leftrightarrow x-1 < y$;
axiom 'x-1<x' $\Leftrightarrow \forall x:\text{Int}. x-1 < x$;
axiom 'x<x+1' $\Leftrightarrow \forall x:\text{Int}. x < x+1$;
axiom '≤0' $\Leftrightarrow \forall x:\text{Int}. 0 \leq x \Rightarrow -x \leq 0$;
axiom '<0' $\Leftrightarrow \forall x:\text{Int}. 0 < x \Rightarrow -x < 0$;
axiom 'x≤y' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x \leq y \Rightarrow 0 \leq y-x$;
axiom 'x<y' $\Leftrightarrow \forall x:\text{Int},y:\text{Int}. x < y \Rightarrow 0 < y-x$;
axiom '0≤0' $\Leftrightarrow 0 \leq 0$;
axiom '0<1' $\Leftrightarrow 0 < 1$;
axiom '-1<0' $\Leftrightarrow -1 < 0$;
axiom 'irrefl<' $\Leftrightarrow \forall x:\text{Int}. \neg(x < x)$;
axiom 'refl≤' $\Leftrightarrow \forall x:\text{Int}. x \leq x$;

Preventing Literals as Proof Targets

Clause $A_1 \wedge A_2 \Rightarrow B_1 \vee B_2$.

- Syntactic sugar for an “undirected” disjunction:

$$\neg A_1 \vee \neg A_2 \vee B_1 \vee B_2$$

- Each atom becomes target of a proof rule:

$$A_2 \wedge \neg B_1 \wedge \neg B_2 \Rightarrow \neg A_1$$

$$A_1 \wedge \neg B_1 \wedge \neg B_2 \Rightarrow \neg A_2$$

$$A_1 \wedge A_2 \wedge \neg B_2 \Rightarrow B_1$$

$$A_1 \wedge A_2 \wedge \neg B_1 \Rightarrow B_2$$

- May lead to proof attempts that are unlikely to succeed.
- Clause $A_1\{*\} \wedge A_2\{*\} \Rightarrow B_1 \vee B_2\{*\}$ with atoms marked as “non-goals” $\{*\}$.
 - Only proof rule: $A_1 \wedge A_2 \wedge \neg B_2 \Rightarrow B_1$

`axiom 'trich' \Leftrightarrow $\forall x:\text{Int}, y:\text{Int}. x < y \vee y < x \vee '='(x,y) \{*\}$;`

Without this, the proof search space may explode.

Equality: Paramodulation-Style Rewriting

A natural adaptation of rule (MESON).

$$\frac{\begin{array}{l} R := (L_1 \vee \dots \vee (l = r) \vee \dots \vee L_{a+b}) \in F \quad t\sigma\sigma_0 \text{ and } l\sigma \text{ have mgu } \sigma_1 \\ \sigma_0 \text{ is a bijective renaming of the variables in } C\sigma \text{ such that } C\sigma\sigma_0 \text{ and } G\sigma \text{ have no common variables} \\ R_s \vdash_{\sigma\sigma_0\sigma_1}^{L_s} (\overline{L_1} \wedge \dots \wedge \overline{L_{i-1}} \wedge \overline{L_{i+1}} \wedge \dots \wedge \overline{L_{a+b}}) \quad R_s \vdash_{\sigma\sigma_0\sigma_1}^{L_s} (G_1[r] \wedge G_2 \wedge \dots \wedge G_g) \end{array}}{R_s \vdash_{\sigma}^{L_s} G := (G_1[t] \wedge G_2 \wedge \dots \wedge G_{g \geq 1})} \quad (\text{PARA})$$

$L[t]$: literal L with subterm t .

Search space explodes; application of the rule has to be appropriately limited.

Rewriting Control

- **Avoid rewrite cycles:** if t_1 has been rewritten to t_2 , do not rewrite t_2 to t_1 in same proof branch.
- **Do not apply non-goals:** ignore equalities marked as $\{*\}$.
- **Restrict rewrite positions:** only consider term positions in uninstantiated literal G_i (not in $G_i\sigma$).
- **Prohibit variable rewrites:** do not rewrite variable x to some term t .
- **Direct equations:** do not apply $l = r$ if $r > l$ for a variant of **lexicographic path order**:
 - $l \in \text{var}(r)$ and $l \neq r$.
 - $r = f(r_1, \dots, r_m)$ and $l = g(l_1, \dots, l_n)$ and
 - $r_i \geq l$ for some i , or
 - $f > g$ and $r > l_j$ for all j , or
 - $f = g$ and $r > l_j$ for all j and $(r_1, \dots, r_m) >_{\text{lex}} (l_1, \dots, l_n)$.
 - We consider $f > g$ iff f was declared in the theory later than g .
 - **Variant:** $t > f(t)$ if t is of an algebraic data type and f is a selector of that type.

Various settings: “Off” (no rewriting), “Min” (rewriting with all restrictions, the default), “Med” (also consider non-goals, do not restrict rewrite positions), “High” (also allow variable rewrites), “Max” (also do not direct equations).

More Equality Rules

Actually RISCTP also implements the following rules.

$$\frac{t\sigma = s\sigma \quad Rs \vdash_{\sigma}^{Ls} G}{Rs \vdash_{\sigma}^{Ls} (t = s) \wedge G} \text{ (EQAX)} \quad \frac{x \notin \text{sup}(\sigma) \quad x \neq t \quad Rs \vdash_{\sigma[x \mapsto t\sigma]}^{Ls} G}{Rs \vdash_{\sigma}^{Ls} (x = t) \wedge G} \text{ (EQSUBST)}$$

$$\frac{t\sigma \neq s\sigma \quad Rs \vdash_{\sigma}^{Ls} (t = s) \wedge G}{Rs \vdash_{\sigma}^{Ls} f(t_1, \dots, t, \dots, t_n) = f(t_1, \dots, s, \dots, t_n) \wedge G} \text{ (FEQ)}$$

$$\frac{\neg(t:\text{Int}) \quad R := (L_1 \vee \dots \vee G_1[s] \vee \dots \vee L_{a+b}) \in F \quad t\sigma \neq s\sigma \quad Rs \vdash_{\sigma}^{Ls} (\overline{L_1} \wedge \dots \wedge \overline{L_{i-1}} \wedge \overline{L_{i+1}} \wedge \dots \wedge \overline{L_{a+b}} \wedge (s = t)) \quad Rs \vdash_{\sigma}^{Ls} (G_2 \wedge \dots \wedge G_g)}{Rs \vdash_{\sigma}^{Ls} (G_1[t] \wedge G_2 \wedge \dots \wedge G_{g \geq 1})} \text{ (EQ)}$$

$$\frac{t:\text{Int} \quad R := (L_1 \vee \dots \vee G_1[s] \vee \dots \vee L_{a+b}) \in F \quad t\sigma \neq s\sigma \quad Rs \vdash_{\sigma}^{Ls} (\overline{L_1} \wedge \dots \wedge \overline{L_{i-1}} \wedge \overline{L_{i+1}} \wedge \dots \wedge \overline{L_{a+b}} \wedge (s \leq t) \wedge \neg(s < t)) \quad Rs \vdash_{\sigma}^{Ls} (G_2 \wedge \dots \wedge G_g)}{Rs \vdash_{\sigma}^{Ls} (G_1[t] \wedge G_2 \wedge \dots \wedge G_{g \geq 1})} \text{ (LEQ)}$$

The application of rule (LEQ) leads in the subsequent proof to a “goal split” based on the relative order of the values of integer terms t and s .

Completeness of Equality Reasoning

Does all of this make the equality reasoning complete?

- **Resolution:** paramodulation is complete.
 - Provided that we add the reflexivity axiom $x = x$ and one function reflexivity axiom $f(x_1, \dots, x_n) = f(x_1, \dots, x_n)$ for every function symbol f .
- **MESON:** paramodulation-style rewriting is incomplete.
 - $R_s := \{p(x) \Rightarrow f(x) = c, p(x) \Rightarrow g(x) = c, \neg p(x) \Rightarrow f(x) = d, \neg p(x) \Rightarrow g(x) = d\}$,
 $G := f(x) = g(x)$
 - Resolution: can derive from R_s the knowledge $p(x) \Rightarrow f(x) = g(x)$ and $\neg p(x) \Rightarrow f(x) = g(x)$ and from this the goal G .
 - MESON: no proof can be found (from any clause as a starting point).

Unclear (to me) whether/how extension to a complete calculus is possible that preserves the goal-directed flavor of MESON.

Problem Decomposition

Before applying MESON, a decomposition of the proof problem according to the rules of the sequent calculus is performed.

$$\begin{array}{l} \frac{\Gamma, \Delta \vdash A, \Lambda}{\Gamma, \neg A, \Delta \vdash \Lambda} (\neg\text{-L}) \\ \frac{\Gamma, A, B, \Delta \vdash \Lambda}{\Gamma, A \wedge B, \Delta \vdash \Lambda} (\wedge\text{-L}) \\ \frac{\Gamma, A, \Delta \vdash \Lambda \quad \Gamma, B, \Delta \vdash \Lambda}{\Gamma, A \vee B, \Delta \vdash \Lambda} (\vee\text{-L}) \\ \frac{\Gamma, \Delta \vdash A, \Lambda \quad \Gamma, B, \Delta \vdash \Lambda}{\Gamma, A \Rightarrow B, \Delta \vdash \Lambda} (\Rightarrow\text{-L}) \\ \frac{\Gamma, A[y/x], \Delta \vdash \Lambda}{\Gamma, (\exists x. A), \Delta \vdash \Lambda} (\exists\text{-L}) \end{array} \qquad \begin{array}{l} \frac{A, \Gamma \vdash \Delta, \Lambda}{\Gamma \vdash \Delta, \neg A, \Lambda} (\neg\text{-R}) \\ \frac{\Gamma \vdash \Delta, A, \Lambda \quad \Gamma \vdash \Delta, B, \Lambda}{\Gamma \vdash \Delta, A \wedge B, \Lambda} (\wedge\text{-R}) \\ \frac{\Gamma \vdash \Delta, A, B, \Lambda}{\Gamma \vdash \Delta, A \vee B, \Lambda} (\vee\text{-R}) \\ \frac{A, \Gamma \vdash \Delta, B, \Lambda}{\Gamma \vdash \Delta, A \Rightarrow B, \Lambda} (\Rightarrow\text{-R}) \\ \frac{\Gamma \vdash \Delta, A[y/x], \Lambda}{\Gamma \vdash \Delta, (\forall x. A), \Lambda} (\forall\text{-R}) \end{array}$$

Resulting formulas are either atomic or quantified.

Problem Simplification and Knowledge Generation

In the presence of integer axioms, MESON proof search is only realistic up to depth 4 or so; thus proof problems have to be considerably simplified before/in the decomposition stage.

- **Reduce operations:** $>$, \geq , \neq are reduced to $<$, \leq , $=$.
- **Inline explicitly defined constants/functions:** application $f(t)$ is replaced by $s[t]$.
- **Insert axioms for implicitly defined functions:** application $f(t)$ yields knowledge $F[t]$.
- **Close the proof:** apply axioms $(\Gamma, A, \Delta \vdash \Lambda, A, \Phi)$, $(\Gamma, \perp, \Delta \vdash \Lambda)$, $(\Gamma \vdash \Delta, \top, \Lambda)$.
- **Cleanup the proof:** apply rules $(\Gamma, \top, \Delta \vdash \Lambda) \rightarrow (\Gamma, \Delta \vdash \Lambda)$ and $(\Gamma \vdash \Delta, \perp, \Lambda) \rightarrow (\Gamma \vdash \Delta, \Lambda)$.
- **Simplify formulas:** apply (theory) knowledge to reduce (sub)formula to \top/\perp and simplify result.
- **Split arithmetic cases:** replace $(t < s + 1)$ by $(t < s \vee t = s)$ and $(t \leq s + 1)$ by $(t \leq s \vee t = s + 1)$.
- **Reduce arithmetic cases:** replace knowledge $(t \leq s)$ and $\neg(t < s)$ by $t = s$.
- **Normalize arithmetic equalities/inequalities:** e.g., $a - b < a - c$ is transformed to $c < b$.
- **Simplify arithmetic inequalities:** replace $t \leq u + 1$ by $t < u$.
- **Generalize arithmetic non-equalities:** extend knowledge $t < u$ by $\neg(t = u)$ and $\neg(u = t)$.
- **Apply arithmetic transitivity:** extend, e.g., knowledge $t \leq s$ and $s < u$ by $t < u$.

Generate smaller problems with more knowledge; close simple problems.

Conclusions

What I (believe to) have learned so far...

- **Pure first-order proving** is *comparatively* simple (with the RISCTP implementation of MESON all proofs from Harrison Chapter 3 can be quickly found).
- However, in the presence of **integer arithmetic**, the “backward” proof search of MESON has to be complemented with “**forward**” **proof decomposition, simplification, knowledge generation** to be effective.
- **SMT solving** can be indeed helpful to enable/speed up some proofs; however with forward knowledge generation the direct use of integer rules is often competitive (at least for simple problems).
- **Equality reasoning** is the hardest part; it depends on a tricky trade-off between efficiency (reduce the space of applicability of rewriting rules) and reasoning strength (preserve the important rewrites).

Many of the stated goal problems can now be solved, I hope to soon provide a suitable release of RISCAL/RISCTP for my next semester's course.

Demo