

# A relational model of programming



# At a glance



- ❖ What is a
  - ❖ program?
  - ❖ problem?
- ❖ What does „specification” mean?
- ❖ How to
  - ❖ construct a program?
  - ❖ construct a correct program?

# Origins



- ❖ ELTE, Budapest
- ❖ Ákos Fóthi et al, beginning of 1980s
- ❖ Teaching aid & research
- ❖ Describing most aspects of imperative programming

# Influenced by



- ❖ H. D. Mills: Mathematical functions for structured programming (1972)
- ❖ C. A. R. Hoare: Proof of correctness of data representations. (1972)
- ❖ E. W. Dijkstra: A Discipline of Programming (1976)
- ❖ D. Gries: The Science of Programming (1981)

# Publications



- ❖ Fóthi, Á.: A mathematical approach to programming.  
In: *Annales Univ. Sci. Budapestin. De Rolando Eötvös Nom., Sect. Comp.*, Tomus IX. (1989), pp. 105-113.
- ❖ Fóthi, Á., Horváth, Z., Nyékyné Gaizler, J.: A Relational Model of Transformation in Programming. In: *Proceedings of the 3<sup>rd</sup> International Conference on Applied Informatics* (1997)

# Scope



- ❖ Basics:
  - ❖ Problems & Programs
  - ❖ State spaces
- ❖ Structured constructs
  - ❖ Correctness of derivation rules
- ❖ Further:
  - ❖ Method of stepwise refinement
  - ❖ Theorems on common patterns
  - ❖ Program transformations
  - ❖ Type theory

# Notation

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- ❖  $f: A \rightarrow B$  ( $f$  is total)
- ❖  $f \in A \rightarrow B$  ( $f$  is partial)
- ❖  $f \in A \times B, H \subseteq A: f|_H = f \cap H \times B$
- ❖  $\mathcal{D}_f, \mathcal{R}_f$
  
- ❖  $\alpha: \mathbb{N} \rightarrow A, \mathcal{D}_\alpha \in \mathbb{N} \cup \{[1..n] | n \in \mathbb{N}\}$ 
  - ❖  $\mathcal{D}_\alpha = \mathbb{N}: \alpha \in A^\infty, |\alpha| := \infty$
  - ❖  $\mathcal{D}_\alpha = [1..n]: \alpha \in A^*, |\alpha| := n, \tau(\alpha) := \alpha_n$
  - ❖  $A^\infty \cup A^* = A^{**}$
  - ❖  $\chi(\alpha^1, \alpha^2, \dots) := \text{red}(\text{concat}(\alpha^1, \alpha^2, \dots))$

# Notation



⊗  $\times_{i \in I} A_i := \{x | x : I \rightarrow \bigcup_{i \in I} A_i \wedge \forall i \in I : x(i) \in A_i\}$   
*(generalized cross product)*

⊗  $J \subseteq I, A = \times_{i \in I} A_i, B = \times_{j \in J} B_j : B$  is a *subspace* of  $A$   
⊗  $a \in A : pr_B(a) = a|_J$  (*projection*)

# Programs & problems



# State space

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Given finite set  $I$  and for all  $i \in I, \mathbb{N} \geq A_i$   
 $A = \times_{i \in I} A_i$  is a state space

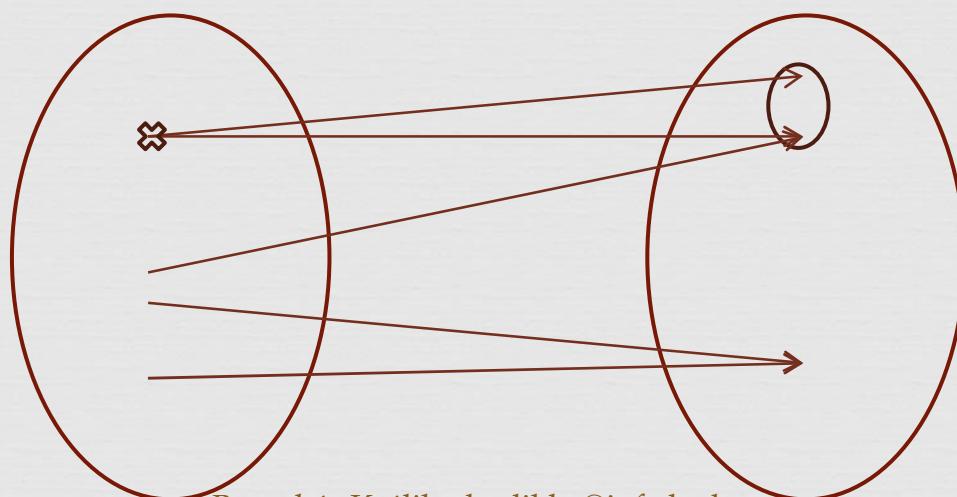
$i \in I: v_i: A \rightarrow A_i, v_i := pr_{\{i\}}$  is a *variable*

# Problem



Q&

$$F \subseteq A \times A$$



# Program



❖ The  $S \subseteq A \times A^{**}$  relation is a program in A, iff

1.  $\mathcal{D}_\alpha = A$
2.  $\forall \alpha \in R_S: \alpha = red(\alpha)$
3.  $\forall a \in A: \forall \alpha \in S(a): |\alpha| \neq 0 \wedge \alpha_1 = a$

# Effect relation



☞ The  $p(S) \subseteq A \times A$  relation is the effect relation of the program  $S$ , so that

1.  $\mathcal{D}_{p(S)} = \{a \in A | S(a) \subseteq A^*\}$
2.  $p(S)(a) = \{b \in A | \exists \alpha \in S(a) : \tau(\alpha) = b\}$

# Solution



❖ An  $S$  program solves an  $F$  problem iff

1.  $\mathcal{D}_F \subseteq \mathcal{D}_{p(S)}$
2.  $\forall a \in D_F: p(S)(a) \subseteq F(a)$

# Example



Q&

$$A = \{1, 2, 3, 4, 5\} \quad S \subseteq A \times A^{**}$$

$$S = \left\{ (1, \langle 1251 \rangle), (1, \langle 14352 \rangle), (1, \langle 132132 \dots \rangle), (2, \langle 21 \rangle), (2, \langle 24 \rangle), \right. \\ \left. (3, \langle 333 \dots \rangle), (4, \langle 41514 \rangle), (4, \langle 431251 \rangle), (4, \langle 41542 \rangle), \right. \\ \left. (5, \langle 524 \rangle), (5, \langle 5234 \rangle) \right\}$$

$$F = \{(2,1), (2,4), (4,1), (4,2), (4,5)\}$$

$$p(S) = \{(2,1), (2,4), (4,4), (4,1), (4,2), (5,4)\}$$

# Specification



and solution

# Stating a complex problem

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- ❖ Specifying complete programs using „raw relations” is cumbersome and error-prone
- ❖ Let us define notions of
  - ❖ pre- and postconditions, based on the
  - ❖ weakest precondition, yielding the concept of
  - ❖ specification.

# Weakest precondition

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- ❖ Given  $S \subseteq A \times A^{**}$  and  $R: A \rightarrow \mathbb{L}$  predicates,
- ❖  $\text{wp}(S, R): A \rightarrow \mathbb{L}$  is the weakest precondition of  $S$  for  $R$ , such that
- ❖  $\llbracket \text{wp}(S, R) \rrbracket = \{a \in A \mid a \in \mathcal{D}_{p(S)} \wedge p(s)(a) \subseteq \llbracket R \rrbracket\}$
  
- ❖ Property:  $\llbracket \text{wp}(S, R) \rrbracket = \llbracket R \circ p(S) \rrbracket$

# Decomposing the problem



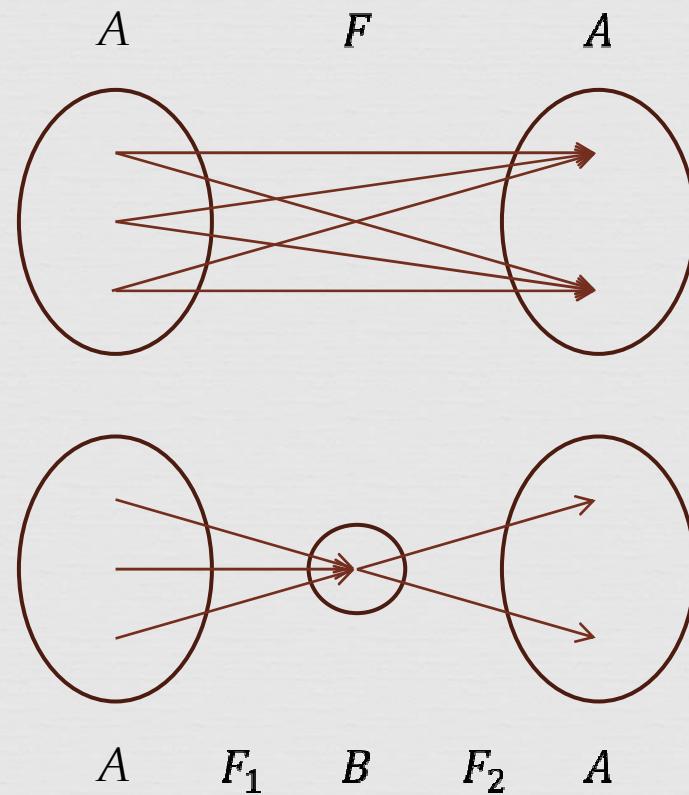
Let us decompose  
 $F \subseteq A \times A$  into relations  $F_1$   
and  $F_2$ , such that

$$\textcircled{3} F_1 \subseteq A \times B,$$

$$\textcircled{3} F_2 \subseteq B \times A,$$

$$\textcircled{3} F = F_2 \circ F_1.$$

The set  $B$  is then called the  
*parameter space*.



# Theorem of specification



- ❖ Let  $F \subseteq A \times A$  be a problem,  $B$  its problem space with  $F_1 \subseteq A \times B, F_2 \subseteq B \times A, F = F_2 \circ F_1$ . Let us define for all  $b \in B$  the following predicates:
  - ❖  $[Q_b] = \{a \in A | (a, b) \in F_1\} = F_1^{(-1)}(b)$ ,
  - ❖  $[R_b] = \{a \in A | (b, a) \in F_2\} = F_2(b)$ .
- ❖ If  $\forall b \in B: Q_b \Rightarrow wp(S, R_b)$  holds,  $S$  solves  $F$ . ■

# Example



Q&

$$A = \underset{a}{\mathbb{Z}} \times \underset{b}{\mathbb{N}}$$

$$B = \underset{a'}{\mathbb{Z}}$$

$$Q: a = a' \wedge a' \neq 0$$

$$R: b = |a| * 2 \wedge a' = 0$$

# Construction



and properties thereof

# Programming task

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- ❖ Let  $A = \times_{i \in I} A_i$  be the state space. We call the 3-tuple  $(F, \mathbb{P}, \mathbb{K})$  a programming task, if
  - ❖  $F \subseteq A \times A$  is a task,
  - ❖  $\mathbb{P}$ , the set of *primitive programs* is a finite set of programs in  $A$
  - ❖  $\mathbb{K}$ , the set of *allowed constructions* is a finite set of functions on the set of programs in  $A$
- ❖ The  $S$  program is the solution of  $(F, \mathbb{P}, \mathbb{K})$  iff  $S$  can be constructed from  $\mathbb{P}$  using  $\mathbb{K}$  and solves  $F$ .

# SKIP and ABORT

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## ❖ SKIP

❖  $\forall a \in A: SKIP(a) = \langle a \rangle$

❖  $wp(SKIP, R) = R$

## ❖ ABORT

❖  $\forall a \in A: ABORT(a) = \langle a \dots \rangle$

❖  $wp(ABORT, R) = false$

# Assignment

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❖  $A = \times_{i \in I} A_i, F \subseteq A \times A$

❖  $S$  is called a *general assignment* iff  $\forall a \in A$ :

$$S(a) = \begin{cases} \{\text{red}(\langle a, b \rangle) \mid b \in F(a)\}, & \text{if } a \in D_F \\ \{\langle a, a, a, \dots \rangle\}, & \text{if } a \notin D_F \end{cases}$$

❖ Now, we can prove, that:

$$\text{❖ } F: A \rightarrow A \quad wp(a := F(a), R) = R \circ F$$

$$\text{❖ } D_F = A \quad [wp(a := F(a), R)] = [R \circ F]$$

$$\text{❖ } F \in A \rightarrow A$$

$$\forall b \in A: wp(a := F(a), R) = \begin{cases} R \circ F(b), & \text{if } b \in D_F \\ \text{false}, & \text{if } b \notin D_F \end{cases}$$

$$\text{❖ } D_F \subset A$$

$$\forall b \in A: wp(a := F(a), R) = \begin{cases} \text{true}, & \text{if } b \subseteq [R] \\ \text{false}, & \text{if } b \notin D_F \end{cases}$$

# Sequence



Given programs  $S_1, S_2 \subseteq A \times A^{**}$ , the following  $S_1; S_2 \subseteq A \times A^{**}$  program is their sequence:

$$\forall a \in A: S_1; S_2(a) = \{\alpha \in A^\infty \mid \alpha \in S_1(a)\} \cup \{\chi(\alpha, \beta) \in A^{**} \mid \alpha \in S_1(a) \cap A^* \wedge \beta \in S_2(\tau(\alpha))\}$$

effect relation:

$$p(S) = p(S_2) \odot p(S_1)$$

Derivation rule:

$$\begin{aligned} &\text{If } Q \Rightarrow wp(S_1, Q') \wedge Q' \Rightarrow wp(S_2, R), \text{ then} \\ &\quad Q \Rightarrow wp(S_1; S_2, R) \end{aligned}$$

# Branch



Given  $S_1, S_2, \dots, S_n \subseteq A \times A^{**}$  programs and  $\pi_1, \pi_2, \dots, \pi_n: A \rightarrow \mathbb{L}$  predicates, we can construct  $(\pi_1: S_1, \dots, \pi_n: S_n) = IF$  as:

$$\forall a \in A: IF(a) = w_0(a) \cup \bigcup_{i=1}^n w_i(a)$$

where  $\forall i \in [1..n]: w_i(a) := \begin{cases} S_i(a), & \text{if } \pi_i(a) \\ \emptyset, & \text{if } \neg \pi_i(a) \end{cases}$

and  $w_0(a) := \begin{cases} \langle a, a, a, a \dots \rangle, & \text{if } \forall_{i=1}^n \neg \pi_i(a) \\ \emptyset, & \text{otherwise} \end{cases}$

# Branch

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❖ effect relation:

❖  $\mathcal{D}_{p(IF)} =$

$$\{a \in A \mid a \in \bigcup_{i=1}^n [\pi_i] \wedge \forall i \in [1..n]: \pi_i(a) \Rightarrow a \in \mathcal{D}_{p(S_i)}\}$$

❖  $\forall a \in \mathcal{D}_{p(IF)}: p(IF)(a) = \bigcup_{i=1}^n p(S_i)_{|[\pi_i]}(a)$

❖ Derivation rule: if

❖  $Q \Rightarrow \bigvee_{i=1}^n \pi_i$  and

❖  $\forall i \in [1..n]: Q \wedge \pi_i \Rightarrow wp(S_i, R)$  holds, then  
$$Q \Rightarrow wp(IF, R)$$

# Loop



Given  $\pi: A \rightarrow \mathbb{L}$  condition and an  $S_0 \subseteq A \times A^{**}$  program (loop body),  $DO = (\pi, S_0) \subseteq A \times A^{**}$  is called a loop and is defined as follows:

•  $\forall a \notin [\pi]: DO(a) = \{\langle a \rangle\}$

•  $\forall a \in [\pi]: DO(a) =$

$$\left\{ \alpha \in A^{**} \mid \begin{array}{l} \exists \alpha^1, \alpha^2, \dots, \alpha^n \in A^{**}: \alpha = \chi(\alpha^1, \alpha^2, \dots, \alpha^n) \wedge \\ \alpha^1 \in S_0(a) \wedge \forall i \in [1..n-1]: (\alpha^i \in A^* \wedge \alpha^{i+1} \in S_0(\tau(\alpha^i)) \wedge \tau(\alpha^i) \in [\pi]) \wedge \\ (\alpha^n \in A^\infty \vee \alpha^n \in A^* \wedge \tau(\alpha^n) \notin [\pi]) \end{array} \right\}$$

$$\cup \left\{ \alpha \in A^\infty \mid \begin{array}{l} \forall i \in \mathbb{N}: \exists \alpha^i \in A^*: \alpha = \chi(\alpha^1, \alpha^2, \dots) \wedge \\ \alpha_1 \in S_0(a) \wedge \forall i \in \mathbb{N}: (\alpha^i \in A^* \wedge \alpha^{i+1} \in S_0(\tau(\alpha^i)) \wedge \tau(\alpha^i) \in [\pi]) \end{array} \right\}$$

# Loop



❖ effect relation:

$$\text{❖ } p(DO) = \overline{p(S_0) | \pi}$$

❖ Derivation rule: if

1.  $Q \Rightarrow P$ ,
2.  $P \wedge \neg\pi \Rightarrow R$ ,
3.  $P \wedge \pi \Rightarrow t > 0$ ,
4.  $P \wedge \pi \Rightarrow wp(S_0, P)$  and
5.  $P \wedge \pi \wedge t = t_0 \Rightarrow wp(S_0, t < t_0)$  holds, then  
$$Q \Rightarrow wp(S_0, R)$$

# Conclusions



# Conclusions

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- ❖ Model and course used with minimal modification
- ❖ 2-semester introductory course (BSc)
- ❖ Easier comprehension of later courses (BSc & MSc)

# Questions?

