# Equational Tree Transformations<sup>1</sup>

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> RISC Linz, May 31, 2010

## Engelfriet & Schmidt 1977:

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- OI (Outside-In) interprets the Call-by-Name (or Call-by-Reference) method of "calling procedures, functions, etc." in programming languages

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- $\Sigma$ ,  $\Delta$ ,  $\Gamma$ : ranked alphabets



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- $L \subseteq T_{\Sigma}(X_n)$ : tree language



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- REL: the class of all relabelings



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• A (deterministic) bottom-up tree automaton

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- define a mapping  $\delta: \mathcal{T}_{\Sigma} \to Q$  inductively as follows: for every  $t \in \mathcal{T}_{\Sigma}$ :

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- Tarski's fixpoint theorem: Let  $(V, \leq)$  be an  $\omega$ -complete poset with least element  $\bot$  and  $f: V \to V$  an  $\omega$ -continuous mapping, i.e.,  $f(\sup\{a_i \mid i \geq 0\}) = \sup\{f(a_i) \mid i \geq 0\}$  for every  $\omega$ -chain  $a_0 \leq a_1 \leq \ldots$  in V. Then f has a least fixpoint fix f, and fix  $f = \sup\{f^{(i)}(\bot) \mid i \geq 0\}$ .

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#### Example

$$\sigma \in \Sigma_{3}, \ \delta \in \Delta_{2}, \ (s, t) = (\sigma(x_{1}, x_{1}, x_{3}), \delta(x_{3}, x_{1})), 
R_{1} = \{(s_{1}, t_{1}), (s'_{1}, t'_{1})\}, \ R_{2} = \emptyset, \ R_{3} = \{(s_{3}, t_{3})\} 
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- $\bullet \ R \subseteq T_{\Sigma}(X_n) \times T_{\Delta}(X_n) \text{ linear} \\ \Longrightarrow R[R_1, \dots, R_n]_{[IO]} = R[R_1, \dots, R_n]_{OI}$

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# Systems of equations of tree transformations: least u-solutions

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## u-equational tree transformations

### **Definition**

 $S \subseteq T_{\Sigma} \times T_{\Delta}$  is *u-equational* (*u*-[IO], OI) if it is the union of some components of the least *u*-solution of a system of equations of tree transformations over  $\Sigma$  and  $\Delta$ .

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  - $t = \delta(x_{j_1}, \dots, x_{j_m})$  where  $m \ge 0$ ,  $\delta \in \Delta_m$  or  $t = x_j$
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A Σ-algebra

$$\mathcal{A}=(A,\Sigma^{\mathcal{A}})$$

where A is a nonempty set, called the domain set of A, and  $\Sigma^{A} = (\sigma^{A} \mid \sigma \in \Sigma)$  such that  $\forall k \geq 0$  and  $\sigma \in \Sigma_{k}$ , we have  $\sigma^{A} : A^{k} \to A$ 

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 $\mathcal{T} = (\mathcal{T}_{\Sigma}, \Sigma^{\mathcal{T}})$  is a  $\Sigma$ -algebra with

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  - $H_{\mathcal{A}}(\sigma(s_1,\ldots,s_k)) = \sigma^{\mathcal{A}}(H_{\mathcal{A}}(s_1),\ldots,H_{\mathcal{A}}(s_k)) \quad \forall k \geq 0, \ \sigma \in \Sigma_k$

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• 
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  - if  $s=\sigma(s_1,\ldots,s_k)$  for  $k\geq 0$  and  $s_1,\ldots,s_k\in T_\Sigma(X_n)$ , then let  $|s_1|_{X_i}=\lambda_{1,i},\ldots,|s_k|_{X_i}=\lambda_{k,i}$  and let  $\mathbf{a}^{(1,i)},\ldots,\mathbf{a}^{(k,i)}$  be the unique decomposition of the vector  $\mathbf{a}^{(i)}$  into components of dimensional  $\lambda_{1,i},\ldots,\lambda_{k,i}$ , respectively,  $\forall 1\leq i\leq n,\ (\lambda_i=\lambda_{1,i}+\ldots+\lambda_{k,i})$   $s\left[\mathbf{a}^{(1)},\ldots,\mathbf{a}^{(n)}\right]_{\mathcal{A}}=\sigma^{\mathcal{A}}\left(s_1\left[\mathbf{a}^{(1,1)},\ldots,\mathbf{a}^{(1,n)}\right]_{\mathcal{A}},\ldots,s_k\left[\mathbf{a}^{(k,1)},\ldots,\mathbf{a}^{(k,n)}\right]_{\mathcal{A}}\right).$



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- $R \subseteq T_{\Sigma}(X_n) \times T_{\Delta}(X_n)$ , u=[IO], OI
- $R[U_1,\ldots,U_n]_{(\mathcal{A},\mathcal{B}),u}=\bigcup_{(s,t)\in R}(s,t)[U_1,\ldots,U_n]_{(\mathcal{A},\mathcal{B}),u}$



A system of equations of tree transformations over  $\Sigma$  and  $\Delta$ 

(E) 
$$x_i = R_i, \ 1 \le i \le n$$

•  $(U_1, \ldots, U_n) \in (\mathcal{P}(A \times B))^n$  is a *u-solution* of (E) in  $(\mathcal{A}, \mathcal{B})$  if  $U_i = R_i[U_1, \ldots, U_n]_u \ \forall 1 \leq i \leq n$ 

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- Existence of the least u-solution of (E) in  $(\mathcal{A}, \mathcal{B})$ : as in tree transformations case
- $U \in \mathcal{P}(A \times B)$  is *u-equational* if it is the union of some components of the least *u*-solution in  $(\mathcal{A}, \mathcal{B})$  of a system of equations of tree transformations

## Mezei-Wright type result

#### Theorem

Let  $\mathcal{A}=(A,\Sigma^{\mathcal{A}})$  and  $\mathcal{B}=(B,\Delta^{\mathcal{B}})$  be arbitrary algebras and u=[IO], OI. A relation  $U\subseteq A\times B$  is u-equational iff there exists a u-equational tree transformation  $S\subseteq T_\Sigma\times T_\Delta$  such that  $H_{(\mathcal{A},\mathcal{B})}(S)=U$ , where  $H_{(\mathcal{A},\mathcal{B})}(s,t)=(H_{\mathcal{A}}(s),H_{\mathcal{B}}(s))$  for every  $(s,t)\in T_\Sigma\times T_\Delta$ .

#### Thank you